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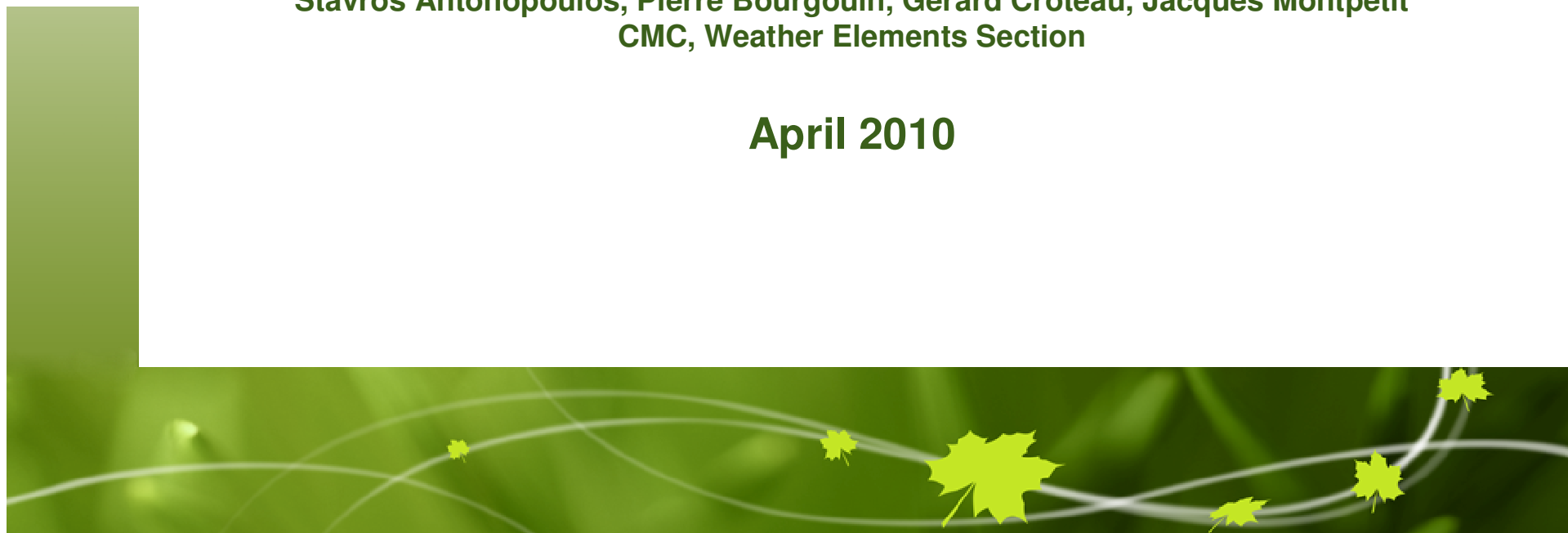
UMOS-AQ

Updatable Model Output Statistics – Air Quality

Description, Verifications and Future developments

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CMC, Weather Elements Section

April 2010



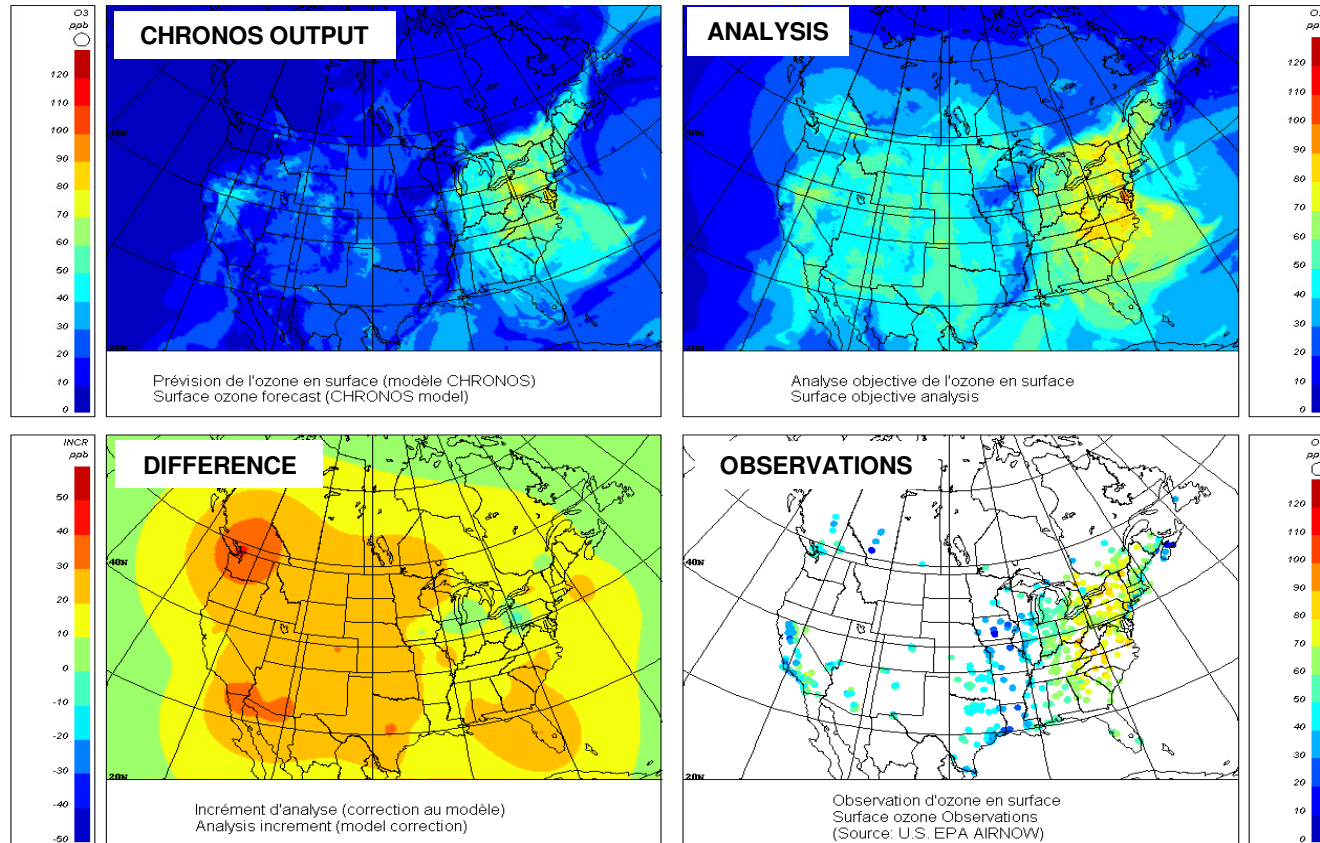
Overview

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 - Motivation
 - Why Statistical Post Processing ?
 - Why UMOS ?
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- Predictors
- Observations
 - Sites, Dataflow
- Project history
- Air Quality Model
 - CHRONOS vs. GEM-MACH15
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- Conclusions & Future work



Motivation: Models have errors

Vendredi 18 Avril 2008 à 18:00Z / Friday April 18 2008 at 18:00Z (EXPERIMENTAL)



Why Statistical post-processing?

- Can compensate for models' inherent systematic errors
- Take into account scales and phenomena not yet resolved by dynamical models
- Possibility of probabilistic forecasts
- Generate output (predictands) that may not exist directly in model's output (e.g. 8hrs avg. [O3], AQHI index, etc.)
- Can be used for Quality Control of direct model output
- Possibility of combining different sources of information (e.g. chemistry model, meteorological model, physical variables, etc.)



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Why UMOS ?

- UMOS is a post-processing system that utilizes the model's predictors and can follow its evolution (*Updatable* MOS)
- In operational status at CMC since 1995 for meteorological predictands
- Presently forecasting: TT, POP6, POP12, Wind speed and direction, Cloud Opacity



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UMOS-AQ overview

- Based on the UMOs system used for weather elements but using different driving models, predictors, predictands and observation sets
- Equations are recalculated four times a month
- Model dependent: Equations must be recalculated for every model change
- Two types of statistical techniques can be used: MLR (Multivariate Linear Regression) and MDA (Multiple Discriminant Analysis)
- Has two seasons (summer/winter) with a transitional period of approximately six weeks

Caveats

- Not very easy to modify in order to perform various experiments
- Can be time consuming to add a new predictand
- May require retraining for significant model change
- Uses linear statistics and therefore may not be ideal at forecasting extreme events (air quality episodes)



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Predictands: What are we forecasting ?

Hourly concentrations of O₃, PM_{2.5} and NO₂

Reasons:

- Availability of observations
- Required for Air Quality Health Index (AQHI) calculations

$$AQHI_{2.5} = \frac{10}{10.4} * \left[100 * \left(\left(e^{0.000871 * NO_2} - 1 \right) + \left(e^{0.000537 * O_3} - 1 \right) + \left(e^{0.000487 * PM_{2.5}} - 1 \right) \right) \right]$$



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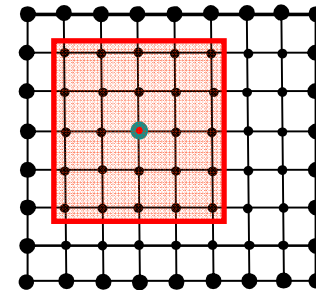
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Predictors: What do we use to forecast ?

Persistence plus a total of 84 predictors in 3 main categories:

- Meteorological:
UU, VV, HR, GZ, ES, Calculated Mixing Height, etc., at various levels.
- Chemical:
O3, NO2, PM25 at SFC, Max and Avg values over the lower vertical levels (~500m) and “neighbor sampling” (n=2)
- Physical parameters:
Solar flux, Sine of Julian Day, etc.



Predictors: equations

- UMOS generates one equation per station, per pollutant, per season, per forecast hour, per run.
- In order to have stable equations we need to accumulate a minimum of 250+ cases.
- System has been calibrated so that on average there are 2-5 predictors per equation in order to avoid “over-fitting”.



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Predictor selection example: Ozone Summer 2009

17843 equations with and without persistence
(~180 stations x 2 sets x 49 hrs)

<u>Total</u>	<u>Element</u>	<u>Description</u>
7042	015192	OZONE IN BOUNDARY LAYER (PPB)
5067	059053	DEW POINT DEPRESSION, SIGMA/ETA=1
4564	048206	O3: SPATIAL SFC SIGMA/ETA=1 (PPB)
2788	059055	DEW POINT DEPRESSION 925 HPA
2757	059124	WIND SPEED (SS(SIGMA/ETA=1))
2616	059126	WIND SPEED (SS(925))
2414	048192	O3: SFC SIGMA/ETA=1 (PPB)
2370	059183	MODEL BOUNDARY LAYER HEIGHT
2013	004211	SINE OF JULIAN DAY [(2piXJD/365)]
1629	012237	DEW POINT TEMPERATURE, SIGMA/ETA=1
1275	048208	O3: SPATIAL MAX LWR LVLS LAST 6H (PPB)
1201	059127	WIND SPEED (SS(850))
1172	059018	GEOPOTENTIAL HEIGHT, 700 HPA
1111	059045	NORTH-SOUTH WIND COMPONENT, VV700
1068	014222	DOWNWARD SOLAR FLUX

...etc.



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Observations

- Observations network still in its infancy
- Difficulties collecting initial training data
- Missing observations occur often
- No rigorous quality control in place

Since July 2007:

- National database
- All measuring stations are members of the National Air Pollution Surveillance Network (NAPS)
- Not all measuring stations report all predictand values
- Quality control of observations from near real-time to yearly



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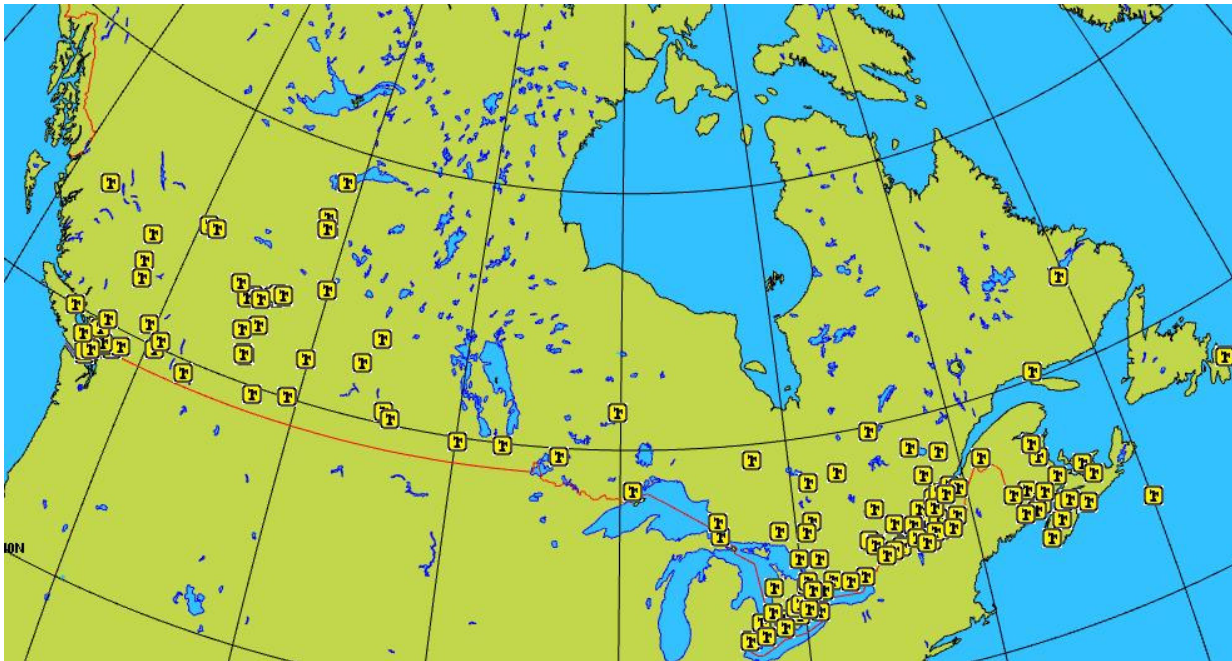
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Observations: sites

Total stations in UMOS-AQ Dictionary: **231**

- O₃ is reported hourly by ~ **180** stations
- PM_{2.5} is reported hourly by ~ **170** stations
- NO₂ is reported hourly by ~ **130** stations

➤ All three pollutants: ~ **85** stations

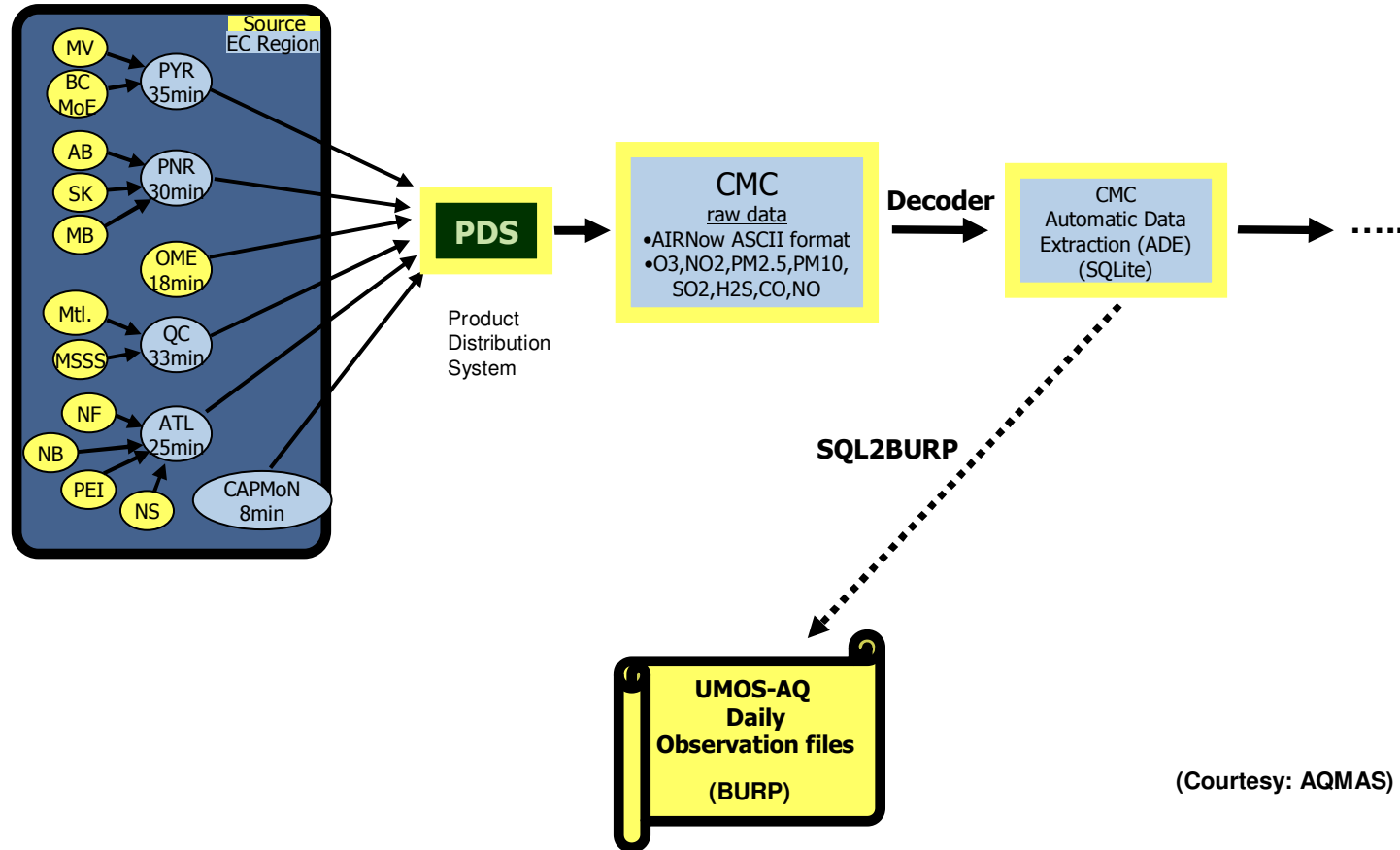


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Observations: Dataflow



(Courtesy: AQMAS)

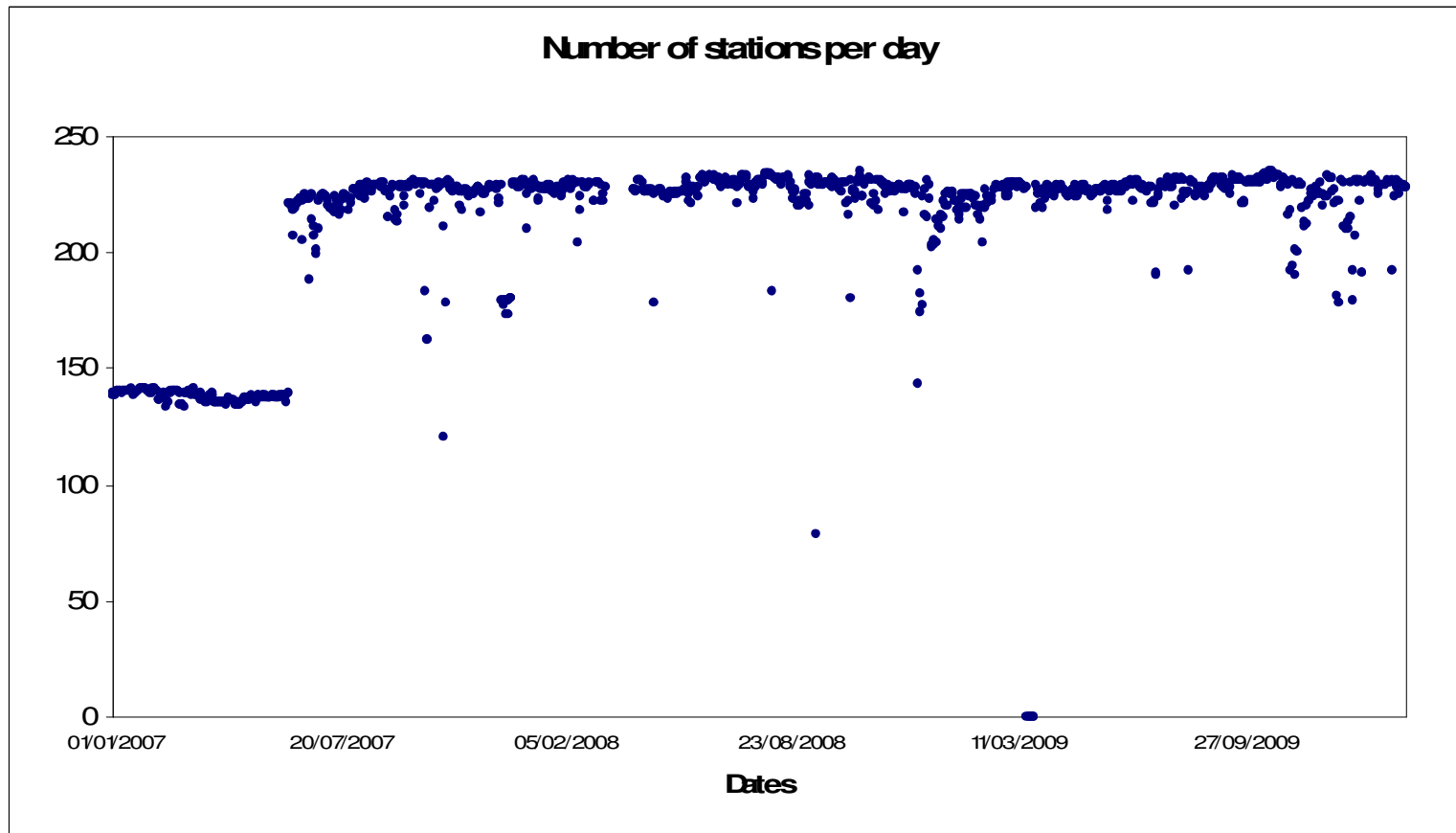


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Observations: Daily distribution



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Project history

Phase 1 - 2008

- Two predictands: [O3], [PM25]
- 3-hourly forecasts
- Two different models: CHRONOS + GEM Regional
- Two daily runs (00Z and 12Z)
- 48hrs forecast
- Database: Approx. 3 years (2007 - 2009)

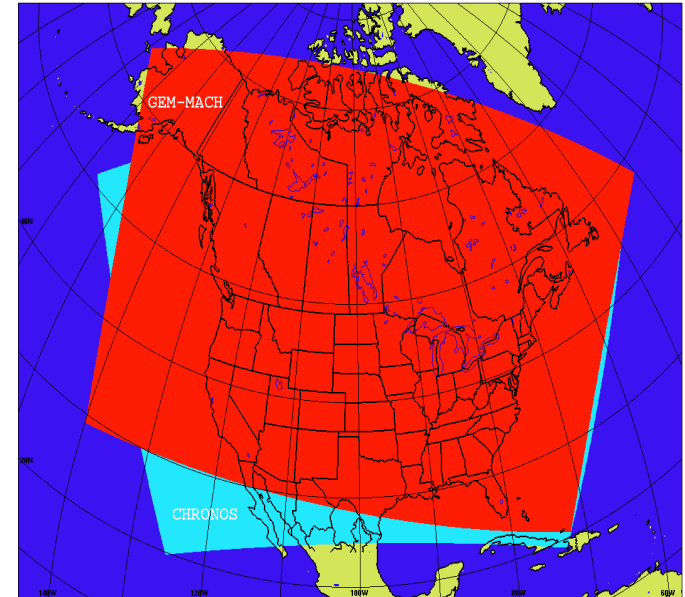
Phase 2 - spring 2009

- Added [NO2] as a predictand
- Model: GEM-MACH15
- Cloned the 3-hourly SSCP matrices to produce hourly forecasts
- Performed a model switchover on July 2009 with approximately 100 hindcast cases from the new model (GEM-MACH15)



CHRONOS vs GEM-MACH15

	CHRONOS	GEM-MACH15
Resolution:	21Km	15km (45% of GEM's grid points)
Time step	3600s (Chemistry)	900s (Chemistry) and 450s (Meteorology)
Chemical Processes	Significant differences between the two models in: Emissions inventory used, Gas and Aqueous-Phase Chemistry, Aerosol dynamics, Boundary conditions, etc.	
Vertical Levels	24 Gal-Chen levels up to 6km	58 Hybrid levels up to ~60km (0.1hPa)
Meteorology	Interpolated from GEM15	Own Physics and Dynamic packages – almost identical to GEM15
Emission fields	2000 (Can) – 2001 (US) (corrected for 2005 regulations)	2005 (US) and 2006(Can)



(Courtesy: AQMAS)

- In general GEM-MACH15 performs slightly better
- **From a statistical point of view, the two models have different characteristics!**



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Model switchover

- During the transition period towards a full GEM-MACH15 equation set (250 cases per season), UMOS-AQ utilizes the old model's (CHRONOS) accumulated cases in addition to the newly developed matrices (SSCP.1) from the new model.
- The total number of accumulated cases varies and depends on the station, season, predictand and forecast hour.
- On average, for the previous model statistics, over a 2 year period and all forecast hours we have the following:

CHRONOS Accumulated cases (SSCP.2)		
	Summer	Winter
O3	505	408
PM25	470	380
NO2	432	325



Verifications: Hourly forecasts of O3, PM25 and NO2

Periods:

Summer (60 days):

15th August 2009 – 15th October 2009, 00Z and 12Z

Winter (60 days):

15th December 2009 – 15th February 2010, 00Z and 12Z

- **Verification data are based on independent samples generated on a pseudo-operational setting**

- **Scores are generated for all stations together and some individual representative ones:**
 - Vancouver International Airport
 - Edmonton Central
 - Toronto Downtown
 - Aeroporto de Montreal 1
 - Winnipeg
 - Halifax - Lake Major

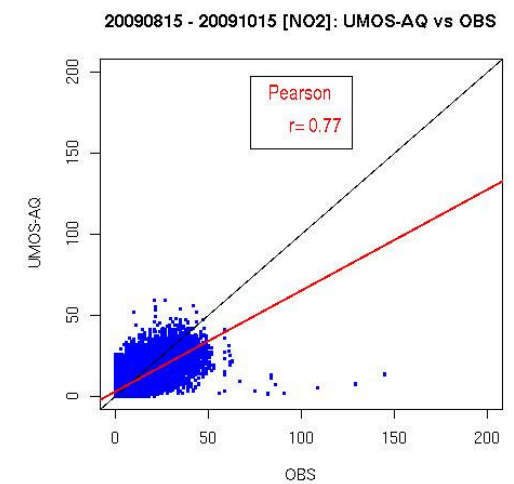
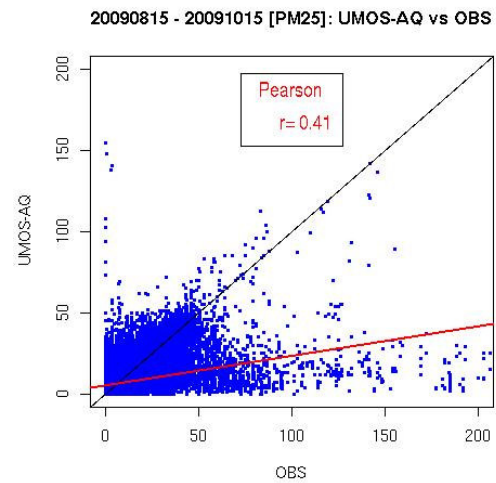
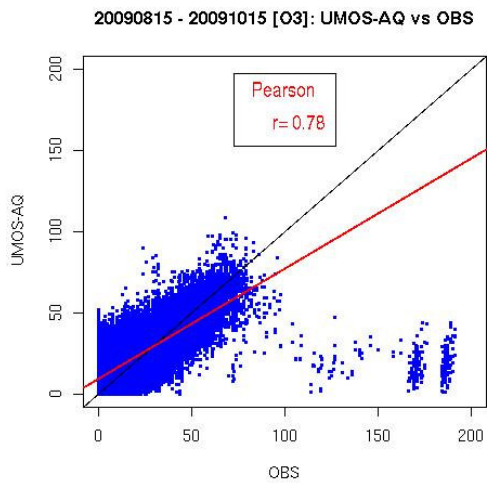
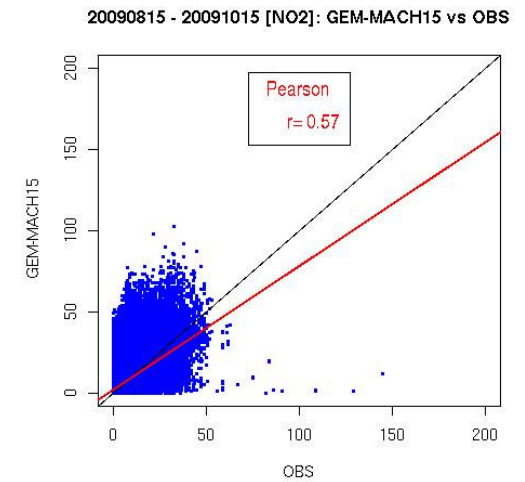
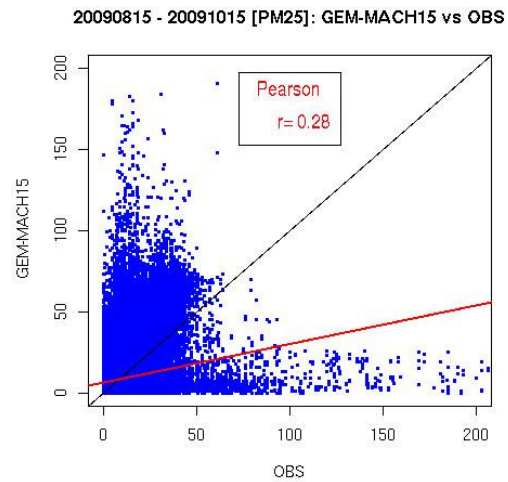
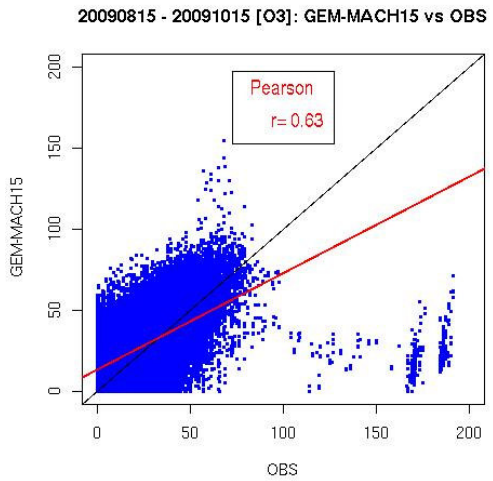


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Verifications: Scatter plots – Summer 2009 (~460K cases)



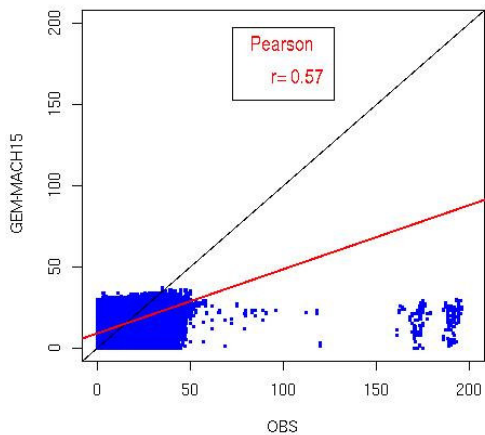
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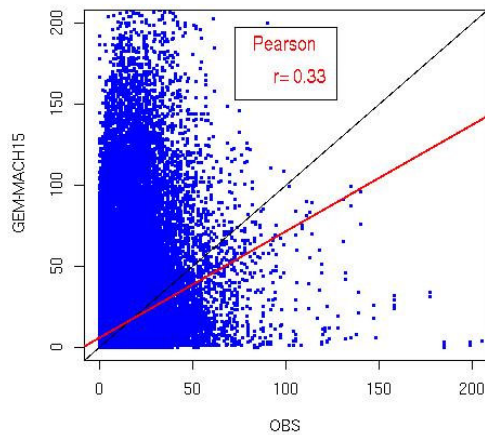
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Verifications: Scatter plots – Winter 2009-2010 (~430K cases)

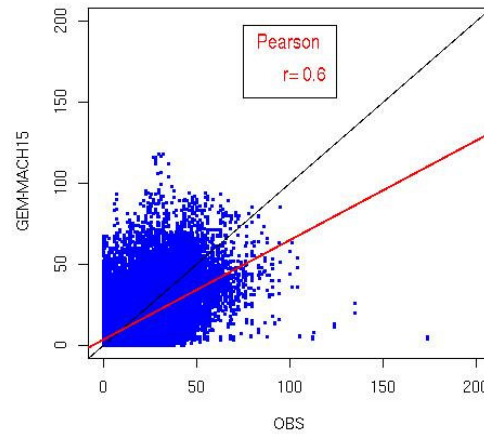
20091215 - 20100215 [O3]: GEM-MACH15 vs OBS



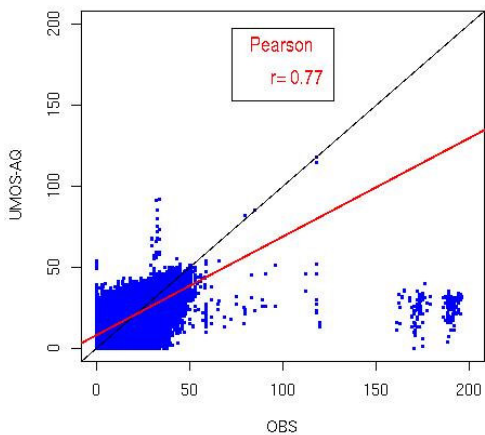
20091215 - 20100215 [PM25]: GEM-MACH15 vs OBS



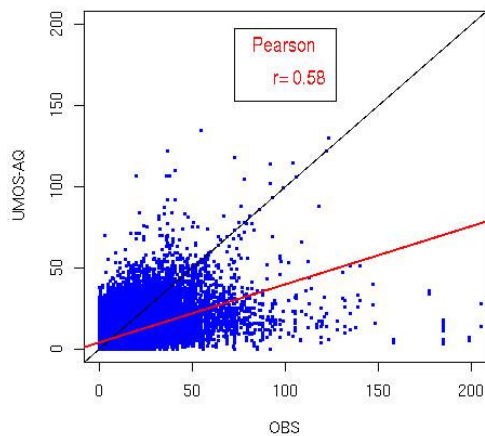
20091215 - 20100215 [NO2]: GEM-MACH15 vs OBS



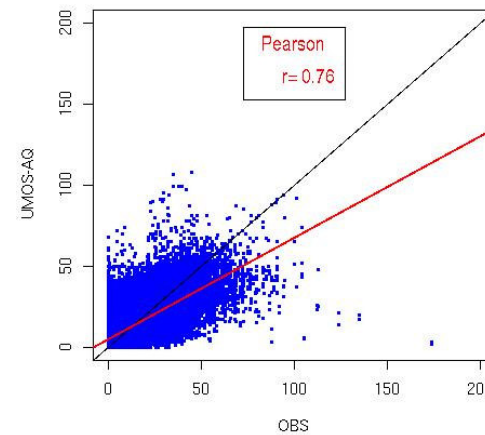
20091215 - 20100215 [O3]: UAMOS-AQ vs OBS



20091215 - 20100215 [PM25]: UAMOS-AQ vs OBS



20091215 - 20100215 [NO2]: UAMOS-AQ vs OBS



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Verifications: Scores

$$BIAS = \frac{1}{N} \left[\sum_{i=1}^N (F_i - O_i) \right]$$

$$RMSE = \left[\frac{1}{N} \sum_{i=1}^N (F_i - O_i)^2 \right]^{1/2}$$

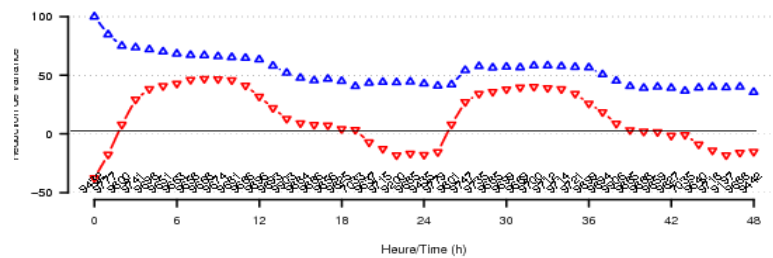
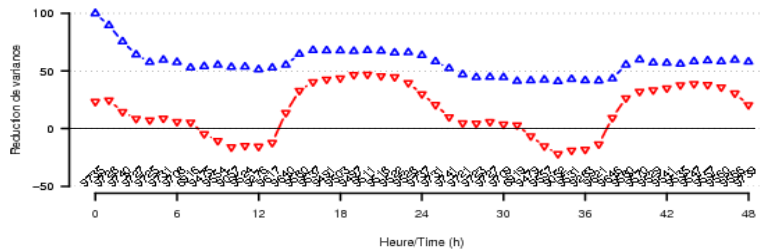
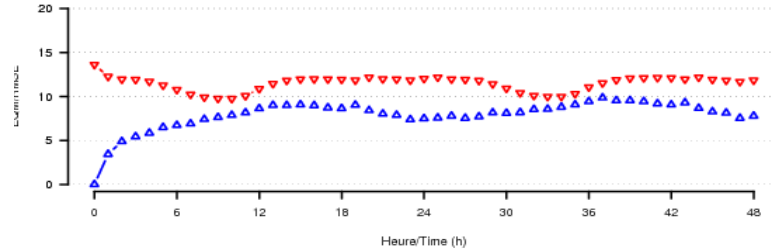
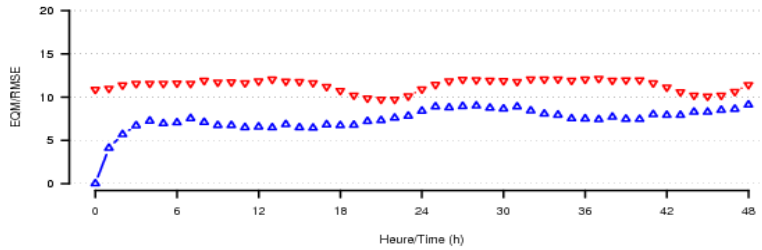
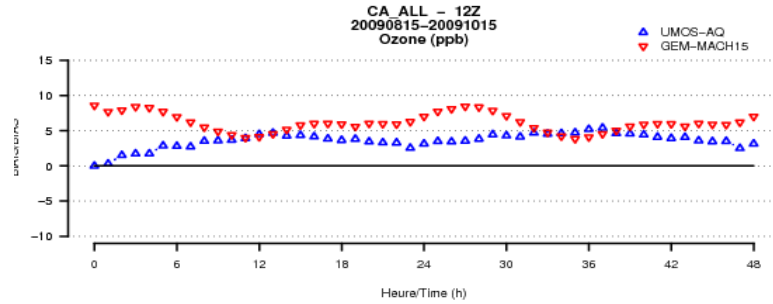
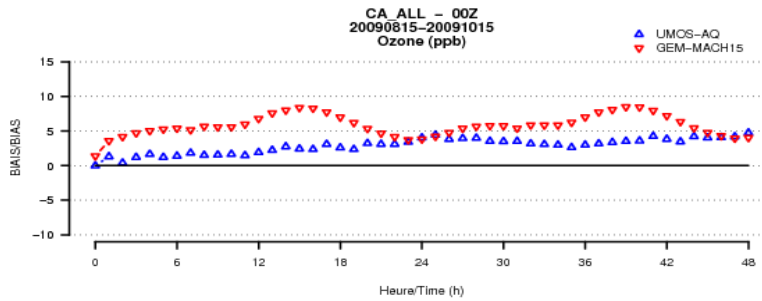
$$RV_{cmc} = \left(1 - \frac{\sum_{i=1}^N (F_i - BIAS - O_i)^2}{\sum_{i=1}^N (\bar{O} - O_i)^2} \right) * 100\%$$



Verifications [O3], All stations

Summer, 60 days [2009-08-15, 2009-10-15]

00Z and 12Z, Pseudo-operational mode



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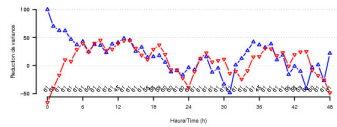
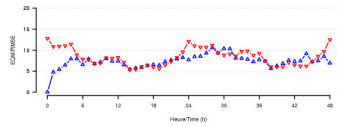
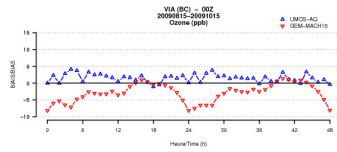


Verifications [O3] – Various stations

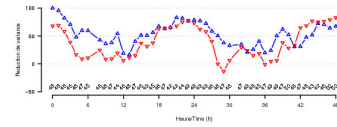
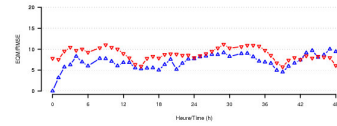
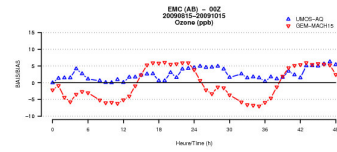
Summer, 60 days [2009-08-15, 2009-10-15]

Pseudo-operational mode

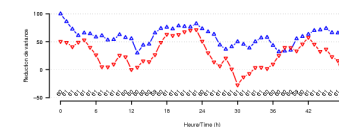
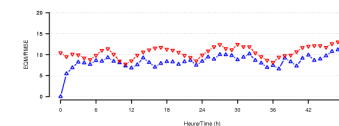
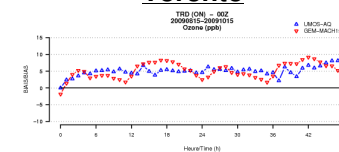
Vancouver



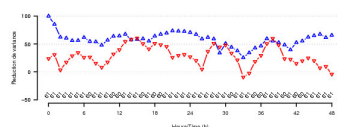
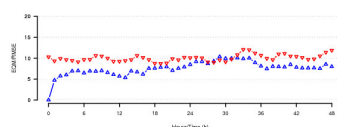
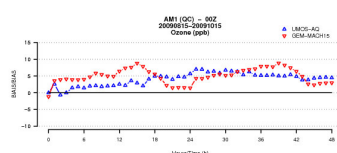
Edmonton



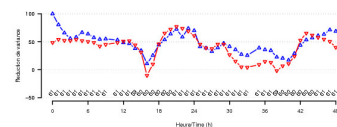
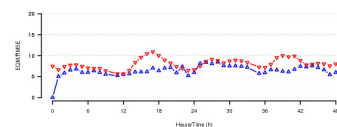
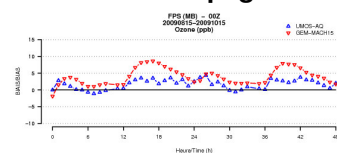
Toronto



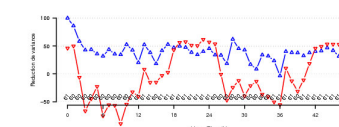
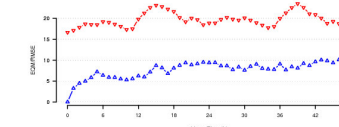
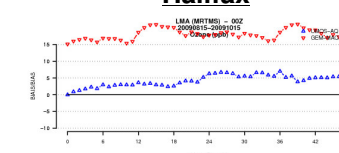
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Winnipeg



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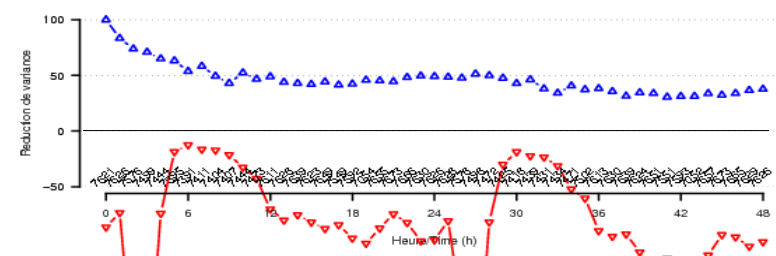
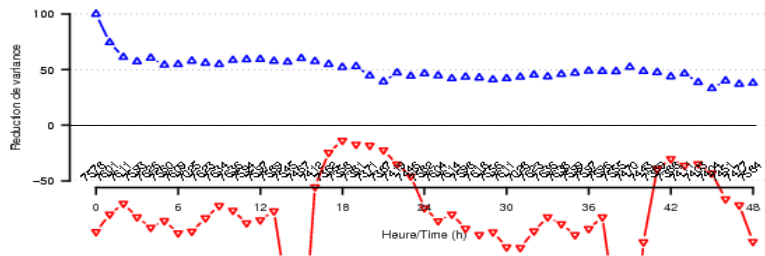
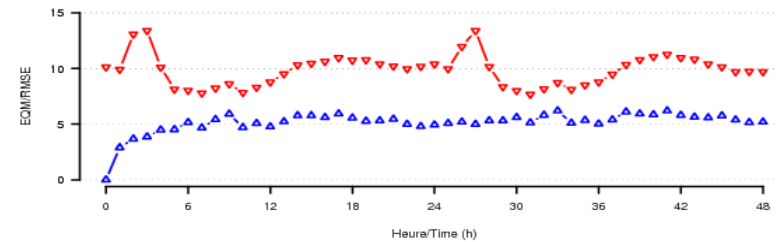
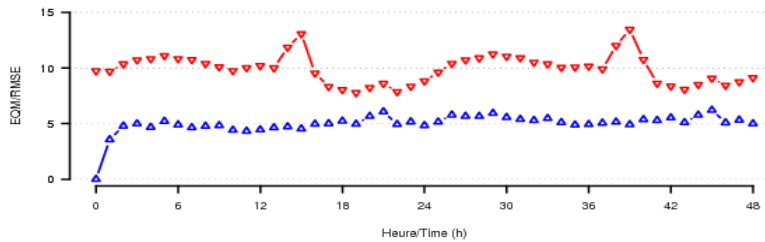
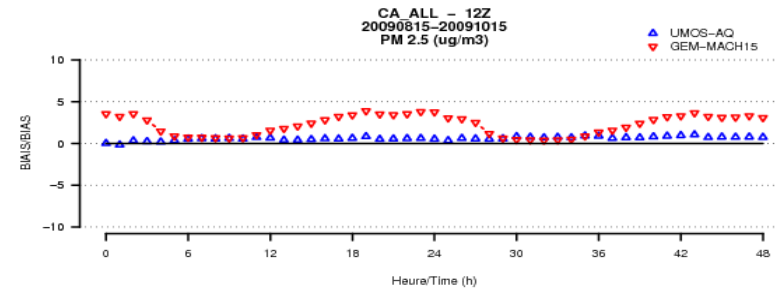
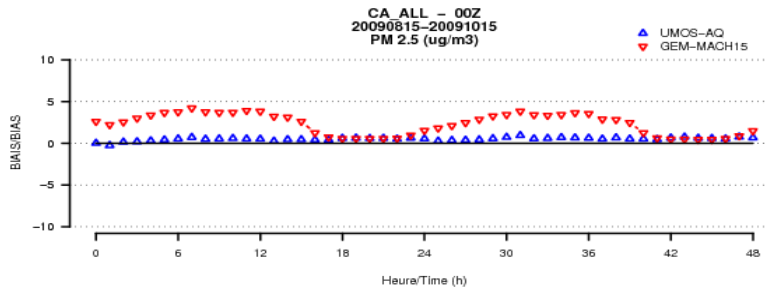
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Verifications [PM25], All stations

Summer, 60 days [2009-08-15, 2009-10-15]

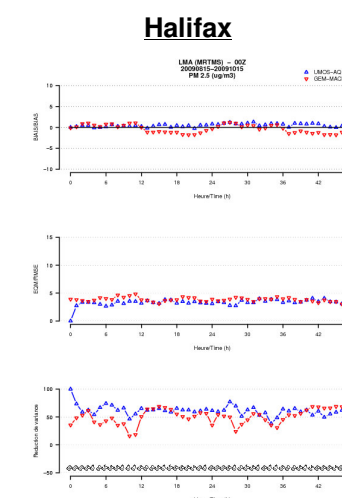
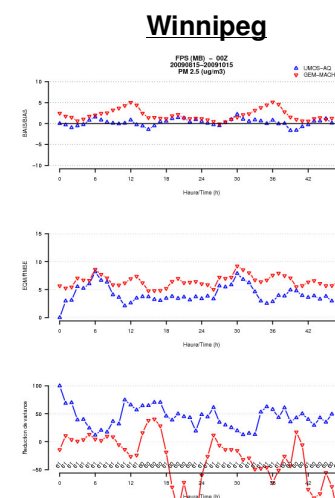
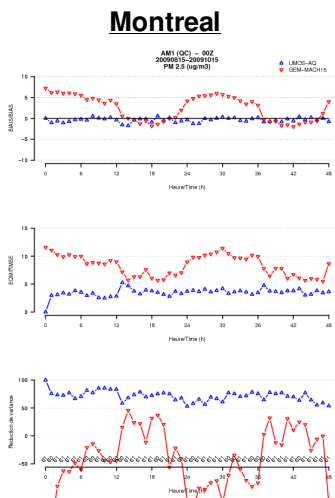
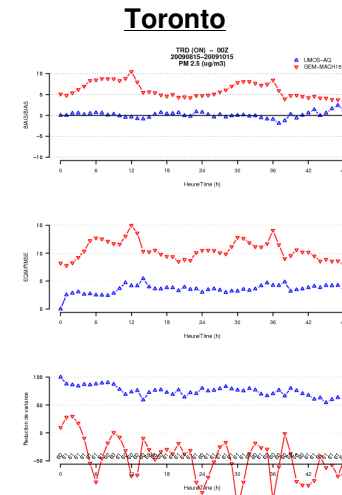
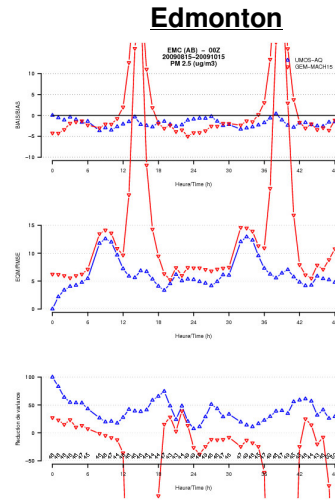
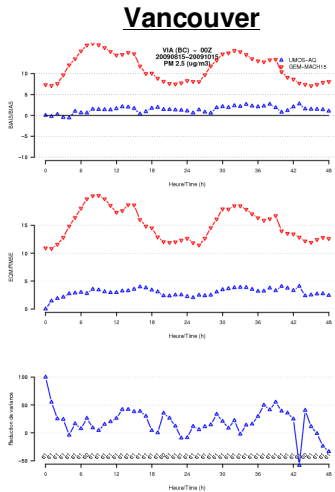
00Z and 12Z, Pseudo-operational mode



Verifications [PM25] – Various stations

Summer, 60 days [2009-08-15, 2009-10-15]

Pseudo-operational mode



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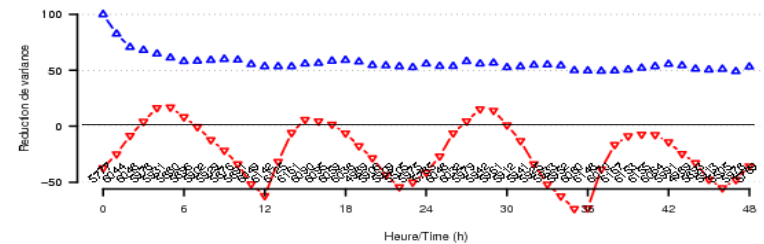
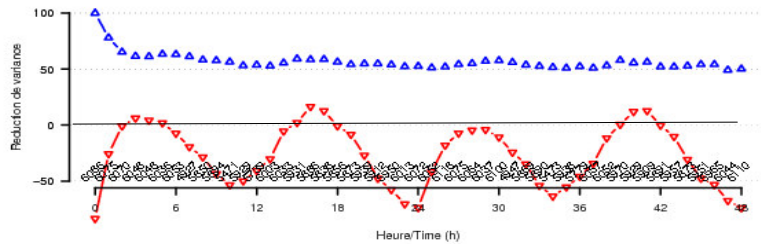
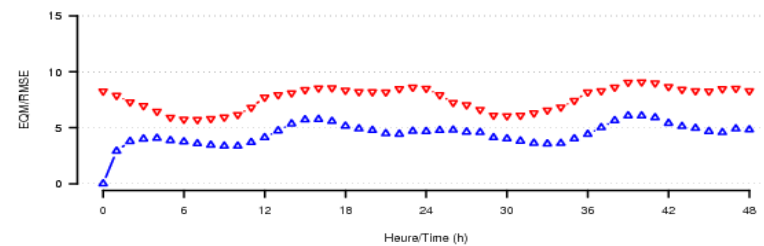
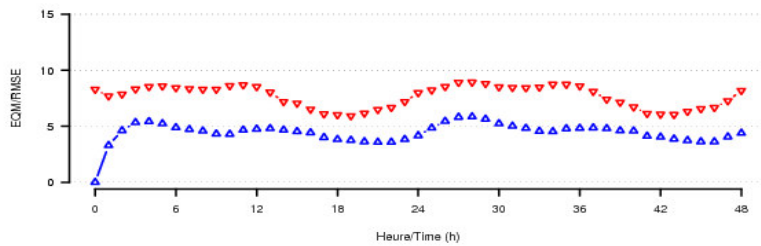
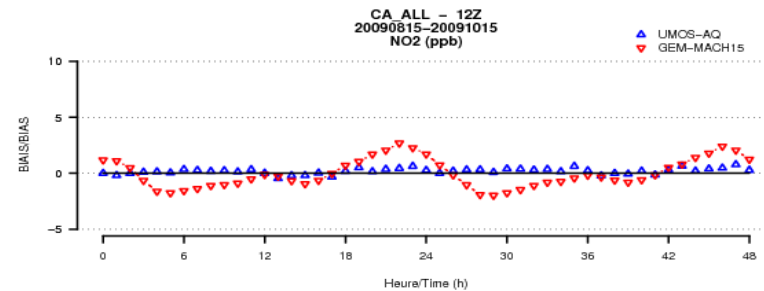
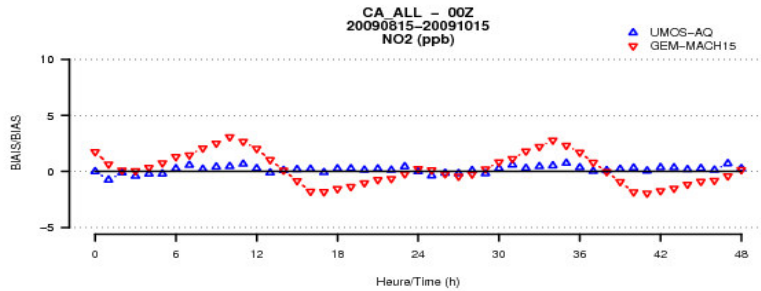
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Verifications [NO2], All stations

Summer, 60 days [2009-08-15, 2009-10-15]

00Z and 12Z, Pseudo-operational mode



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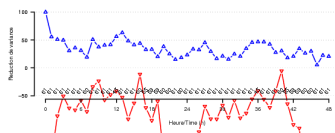
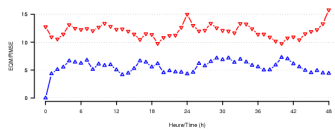
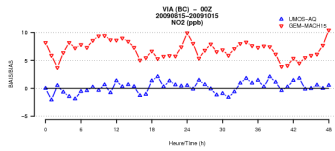
Canada

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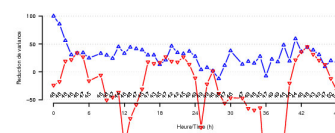
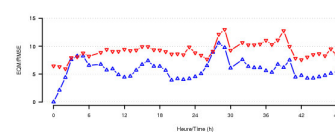
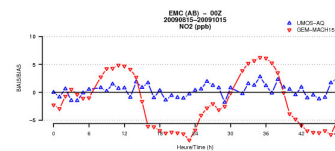
Summer, 60 days [2009-08-15, 2009-10-15]

Pseudo-operational mode

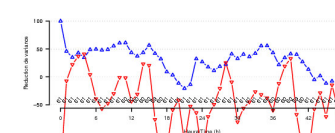
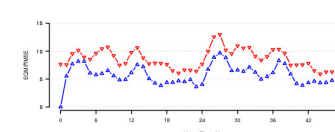
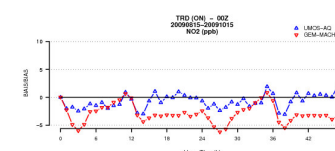
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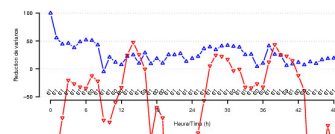
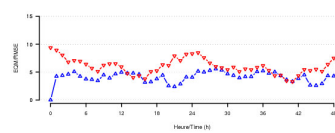
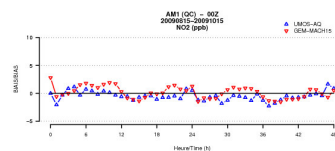
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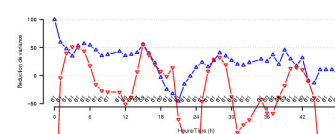
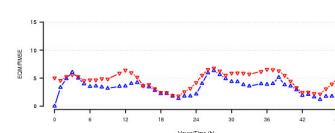
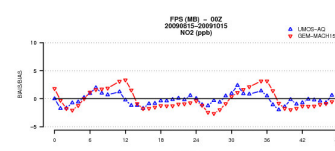
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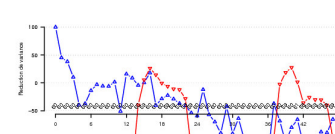
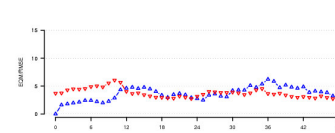
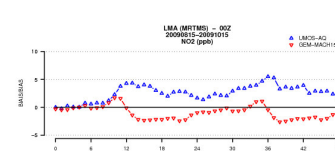
Montreal



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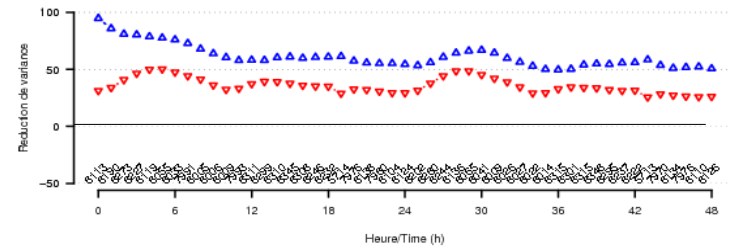
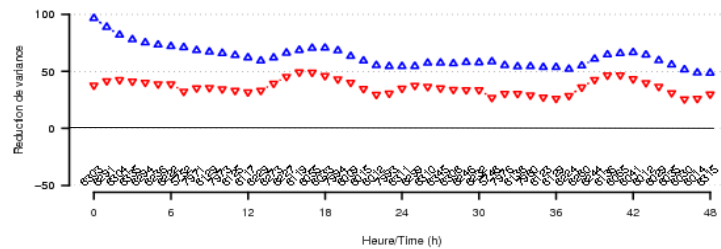
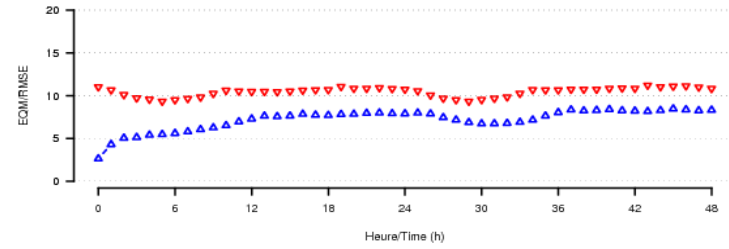
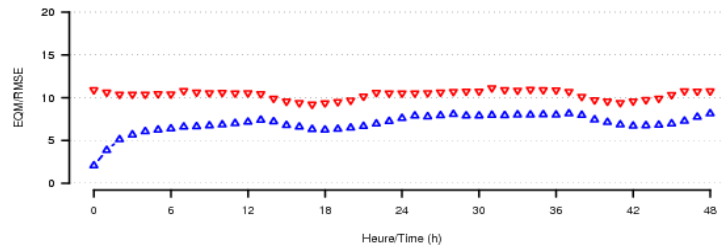
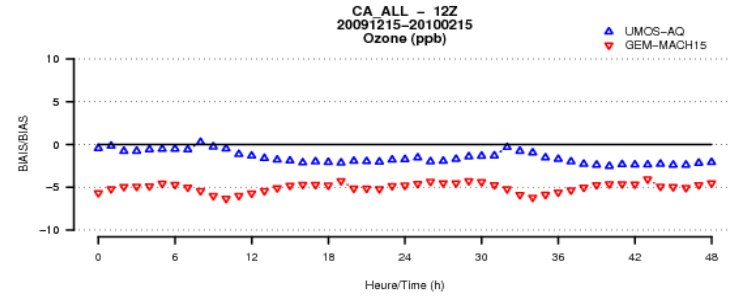
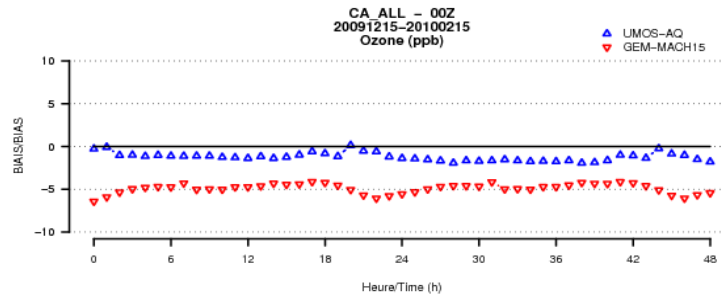
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Verifications [O3], All stations

Winter, 60 days [2009-12-15, 2010-02-15]

00Z and 12Z, Pseudo-operational mode



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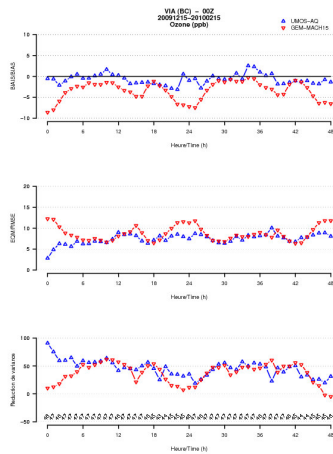
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Verifications [O3] – Various stations

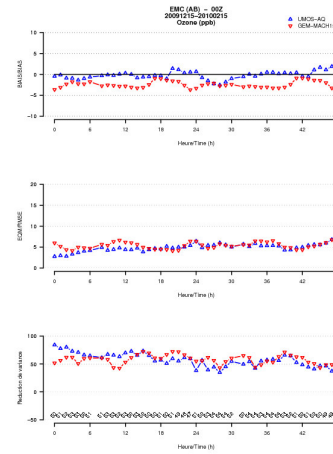
Winter, 60 days [2009-12-15, 2010-02-15]

00Z and 12Z, Pseudo-operational mode

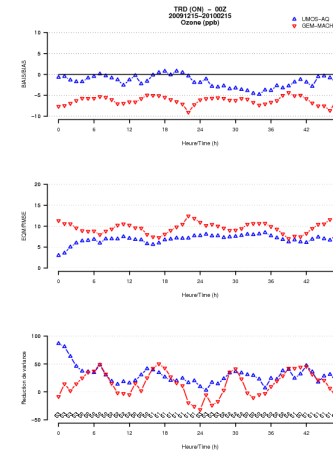
Vancouver



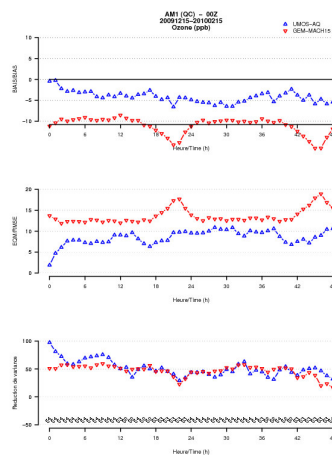
Edmonton



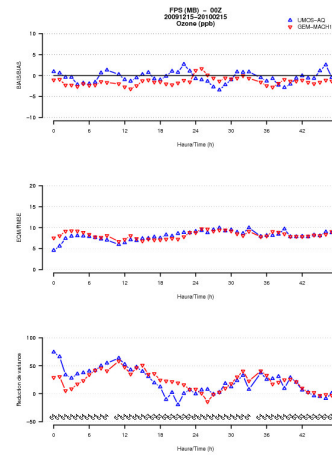
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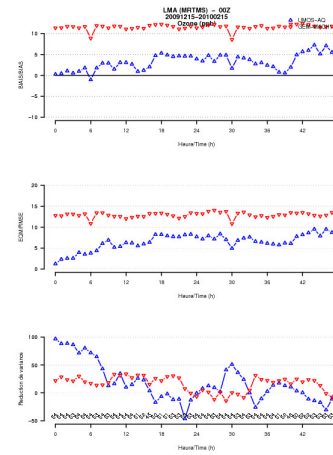
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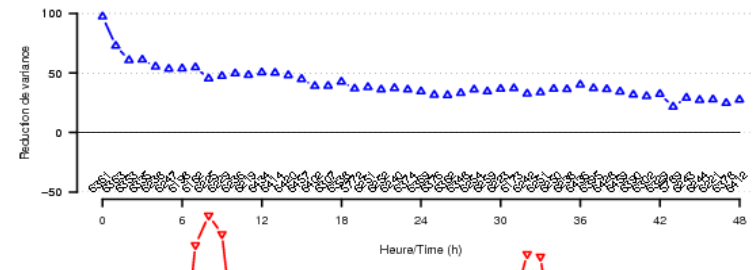
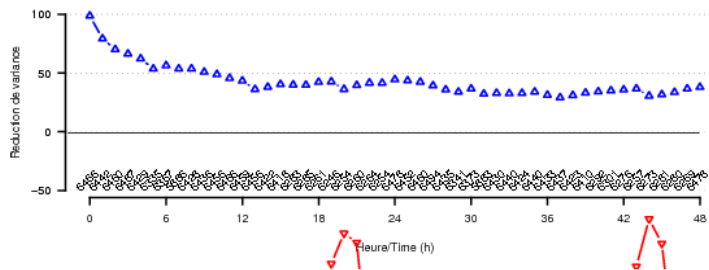
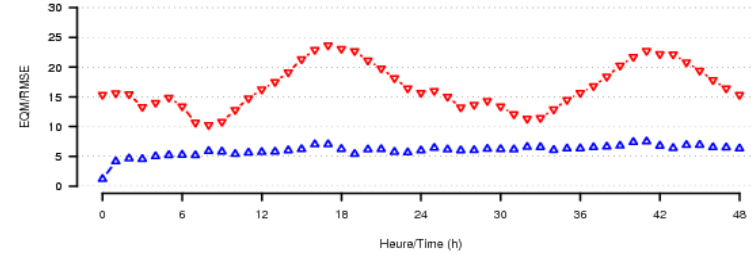
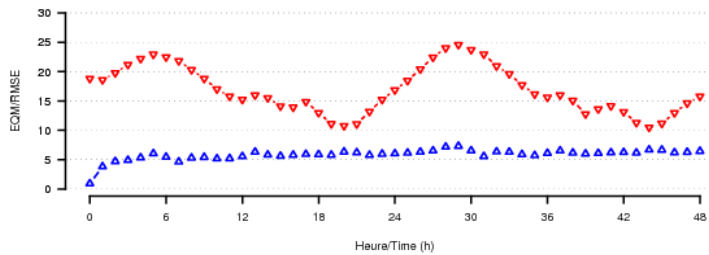
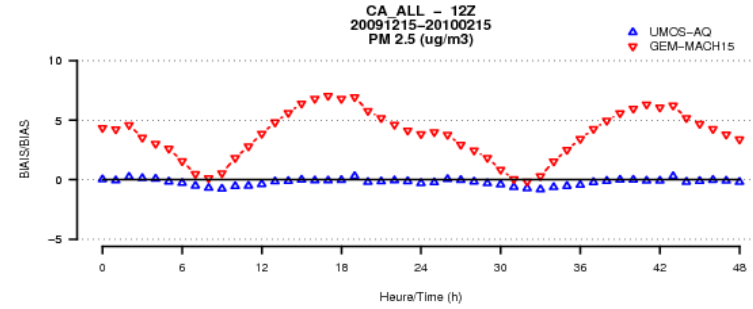
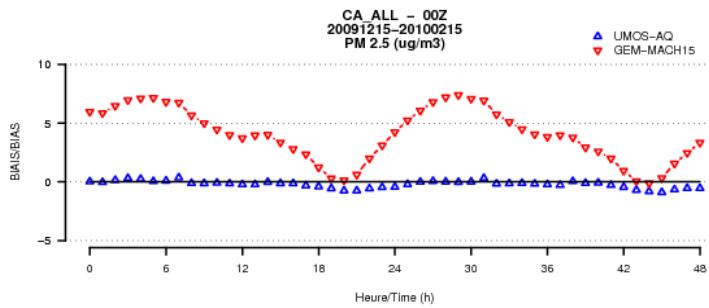
Environnement
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Verifications [PM25], All stations

Winter, 60 days [2009-12-15, 2010-02-15]

00Z and 12Z, Pseudo-operational mode

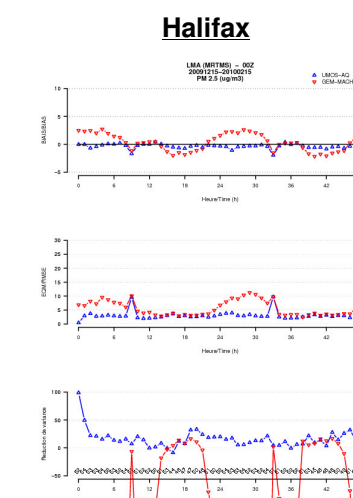
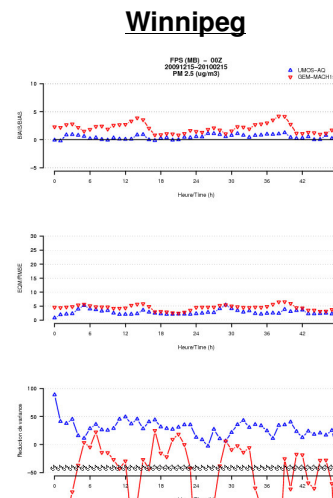
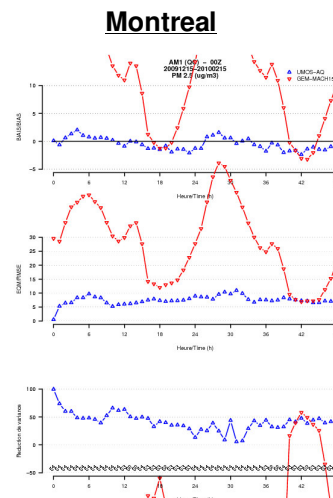
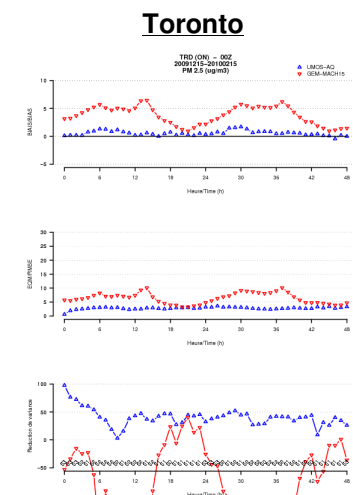
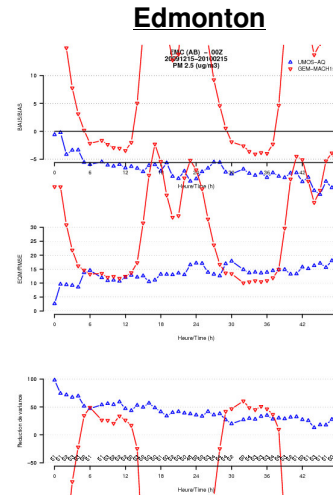
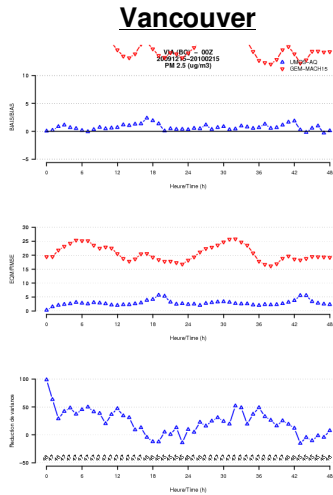


Environment
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Environnement
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Verifications [PM25], Various stations Winter, 60 days [2009-12-15, 2010-02-15] 00Z and 12Z, Pseudo-operational mode



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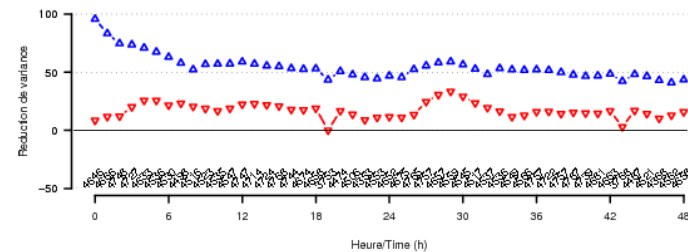
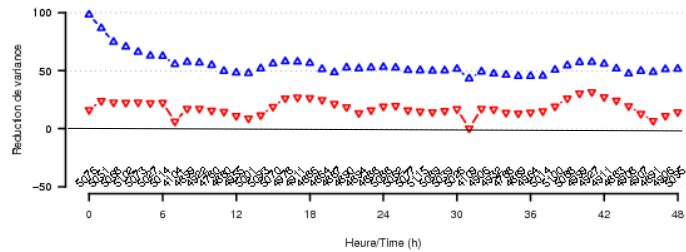
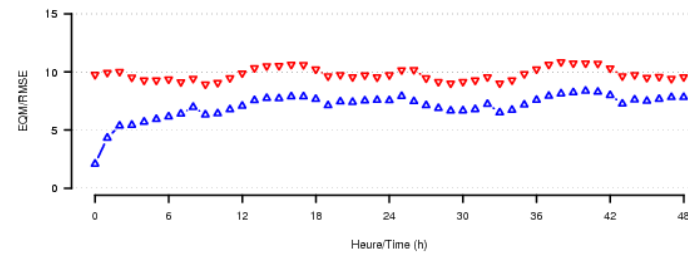
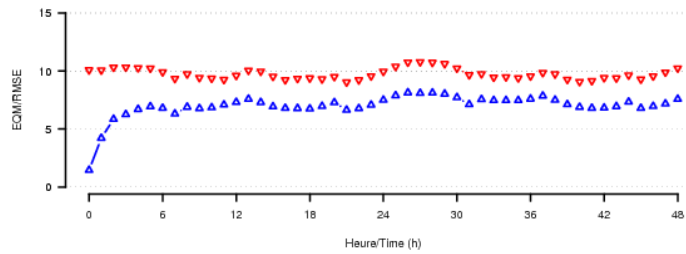
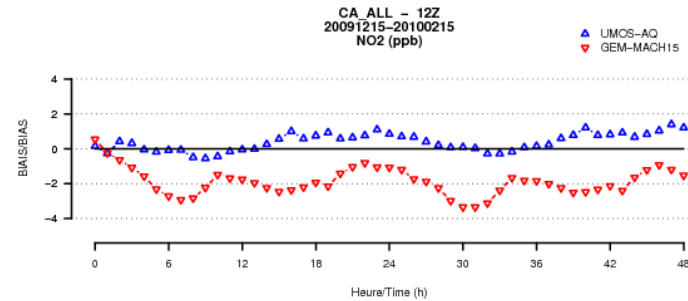
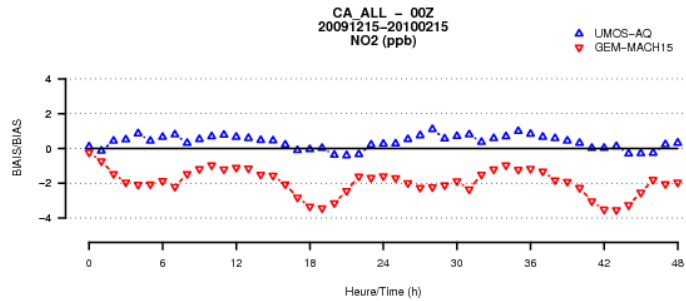


Canada

Verifications [NO₂], All stations

Winter, 60 days [2009-12-15, 2010-02-15]

00Z and 12Z, Pseudo-operational mode

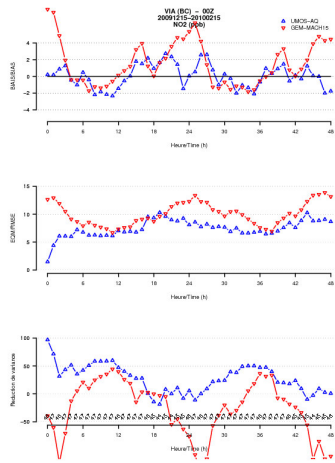


Verifications [NO2] – Various stations

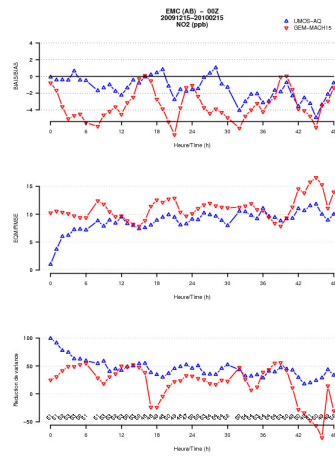
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00Z and 12Z, Pseudo-operational mode

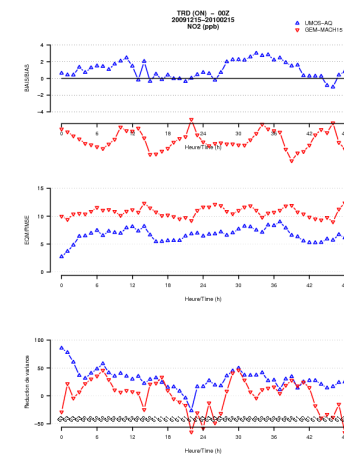
Vancouver



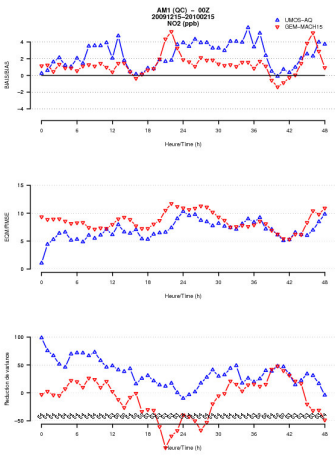
Edmonton



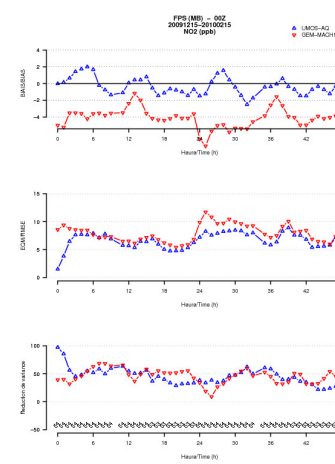
Toronto



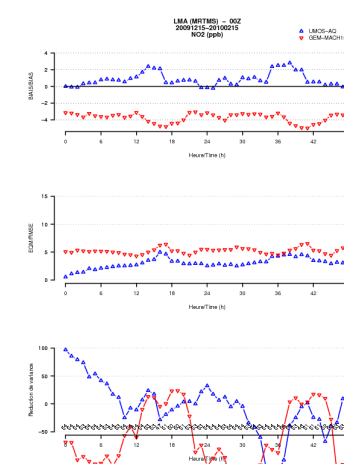
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Contingency tables

- **Three categories used in order to evaluate performance in “low”, “medium” and “high” values.**

O3 : [0 , 40] (40, 80] (> 80)

PM25 : [0 , 15] (15, 30] (> 30)

NO2 : [0 , 15] (15, 30] (> 30)

- **The scores used are:**

- ✓ Hit Rate
- ✓ False Alarm Ratio (FAR)
- ✓ Critical Success Index (CSI)
- ✓ Percent Correct (PC)
- ✓ Heidke Skill Score (HSS)

- **All scores are almost identical between the 00Z and 12Z runs, therefore we only show the 00Z in the following tables.**



Contingency table: O3, Summer/Winter

([UMOS-AQ](#) vs. [GEM-MACH15](#))

O3 : 20090815-20091015 00Z, UMOS-AQ vs. GEM-MACH15				
	FCST			
	[0 - 40]	(40 - 80]	> 80	Totals
[0 - 40]	429037	10515	4	439556
	411435	28102	19	439556
[40 - 80]	6809	17222	54	24085
	7165	16602	318	24085
> 80	45	51	4	100
	47	51	2	100
Totals(um)	435891	27788	62	463741
Totals(dm)	418647	44755	339	

Hit Rate	98%	72%	4%
	94%	69%	2%
FAR	2%	38%	94%
	2%	63%	99%
CSI	96%	50%	3%
	92%	32%	0%
PC	96%		
	92%		
HSS	64%		
	45%		

O3 : 20091215-20100215 00Z, UMOS-AQ vs. GEM-MACH15				
	FCST			
	[0 - 40]	(40 - 80]	> 80	Totals
[0 - 40]	383758	1163	3	384924
	384924	0	0	384924
[40 - 80]	8068	1563	0	9631
	9631	0	0	9631
> 80	16	6	4	26
	26	0	0	26
Totals(um)	391842	2732	7	394581
Totals(dm)	394581	0	0	

Hit Rate	100%	16%	15%
	100%	0%	0%
FAR	2%	43%	43%
	2%	N/A	N/A
CSI	98%	14%	14%
	98%	0%	0%
PC	98%		
	98%		
HSS	25%		
	0%		



Contingency table: PM25, Summer/Winter (UMOS-AQ vs. GEM-MACH15)

PM25 : 20090815-20091015 00Z, UMOs-AQ vs. GEM-MACH15					
OBS	FCST				Totals
		[0 - 15]	(15 - 30]	> 30	
	[0 - 15]	332146	7537	191	339874
		296972	34062	8840	339874
	(15 - 30]	12974	9172	731	22877
		10890	6229	5758	22877
	> 30	945	2299	1904	5148
		1304	836	3008	5148
Totals(um)	346065	19008	2826	367899	
Totals(dm)	309166	41127	17606		
Hit Rate	98%	40%	37%		
	87%	27%	58%		
FAR	4%	52%	33%		
	4%	85%	83%		
CSI	94%	28%	31%		
	84%	11%	15%		
PC	93%				
	83%				
HSS	47%				
	22%				

PM25 : 20091215-20100215 00Z, UMOs-AQ vs. GEM-MACH15					
OBS	FCST				Totals
		[0 - 15]	(15 - 30]	> 30	
	[0 - 15]	276610	6449	253	283312
		245486	24195	13631	283312
	(15 - 30]	14944	6956	634	22534
		11900	4431	6203	22534
	> 30	2193	2535	1006	5734
		2212	1159	2363	5734
Totals(um)	293747	15940	1893	311580	
Totals(dm)	259598	29785	22197		
Hit Rate	98%	31%	18%		
	87%	20%	41%		
FAR	6%	56%	47%		
	5%	85%	89%		
CSI	92%	22%	15%		
	83%	9%	9%		
PC	91%				
	81%				
HSS	38%				
	19%				



Contingency table: NO2, Summer/Winter ([UMOS-AQ](#) vs. [GEM-MACH15](#))

NO2 : 20090815-20091015 00Z, UMOS-AQ vs. GEM-MACH15					
	FCST			Totals	
	[0 - 15]	(15 - 30]	> 30		
OBS	[0 - 15]	237125	11877	45	249047
		220076	24829	4142	249047
OBS	(15 - 30]	17602	19351	485	37438
		17814	14218	5406	37438
OBS	> 30	381	2296	420	3097
		526	1034	1537	3097
Totals(um)		255108	33524	950	289582
Totals(dm)		238416	40081	11085	
Hit Rate		95%	52%	14%	
		88%	38%	50%	
FAR		7%	42%	56%	
		8%	65%	86%	
CSI		89%	37%	12%	
		82%	22%	12%	
PC		89%			
		81%			
HSS		50%			
		32%			

NO2 : 20091215-20100215 00Z, UMOS-AQ vs. GEM-MACH15					
	FCST			Totals	
	[0 - 15]	(15 - 30]	> 30		
OBS	[0 - 15]	133086	25989	835	159910
		134823	22511	2576	159910
OBS	(15 - 30]	19111	40729	3746	63586
		32433	24845	6308	63586
OBS	> 30	1380	9330	7820	18530
		3572	7728	7230	18530
Totals(um)		153577	76048	12401	242026
Totals(dm)		170828	55084	16114	
Hit Rate		83%	64%	42%	
		84%	39%	39%	
FAR		13%	46%	37%	
		21%	55%	55%	
CSI		74%	41%	34%	
		69%	26%	26%	
PC		75%			
		69%			
HSS		50%			
		34%			



Verifications: Conclusions

- In the vast majority of forecast hours, over all stations, predictands and seasons:
 - ✓ The model's bias is reduced.
 - ✓ RMSE is reduced.
 - ✓ More than the above, we explain better the observed variance.

- Contingency tables: Significant improvement in almost all categories over all pollutants. Better skill.

- **UMOS-AQ significantly improves the model's forecast quality.**



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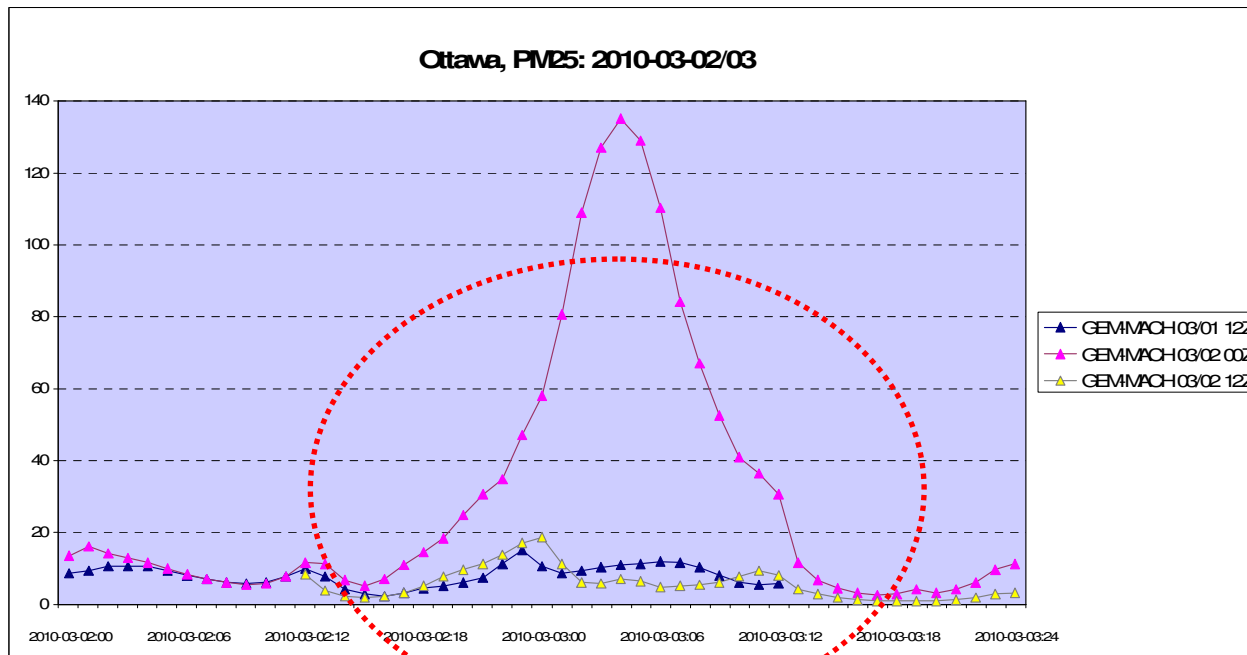
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Special case: 2010-03-02 Ottawa (PM25)

Problem:

- Generally there is a general accordance and “continuity” between adjacent model runs.
- Occasionally relatively small differences in meteorology (sfc temperature, sfc wind direction, boundary layer height, etc.) can have a great impact in predicted pollutant values
- Problem occurs occasionally across Canada and is related to small changes in meteorology inside the boundary layer, which are important for air quality



Courtesy:
Radenko Pavlovic (AQMAS)

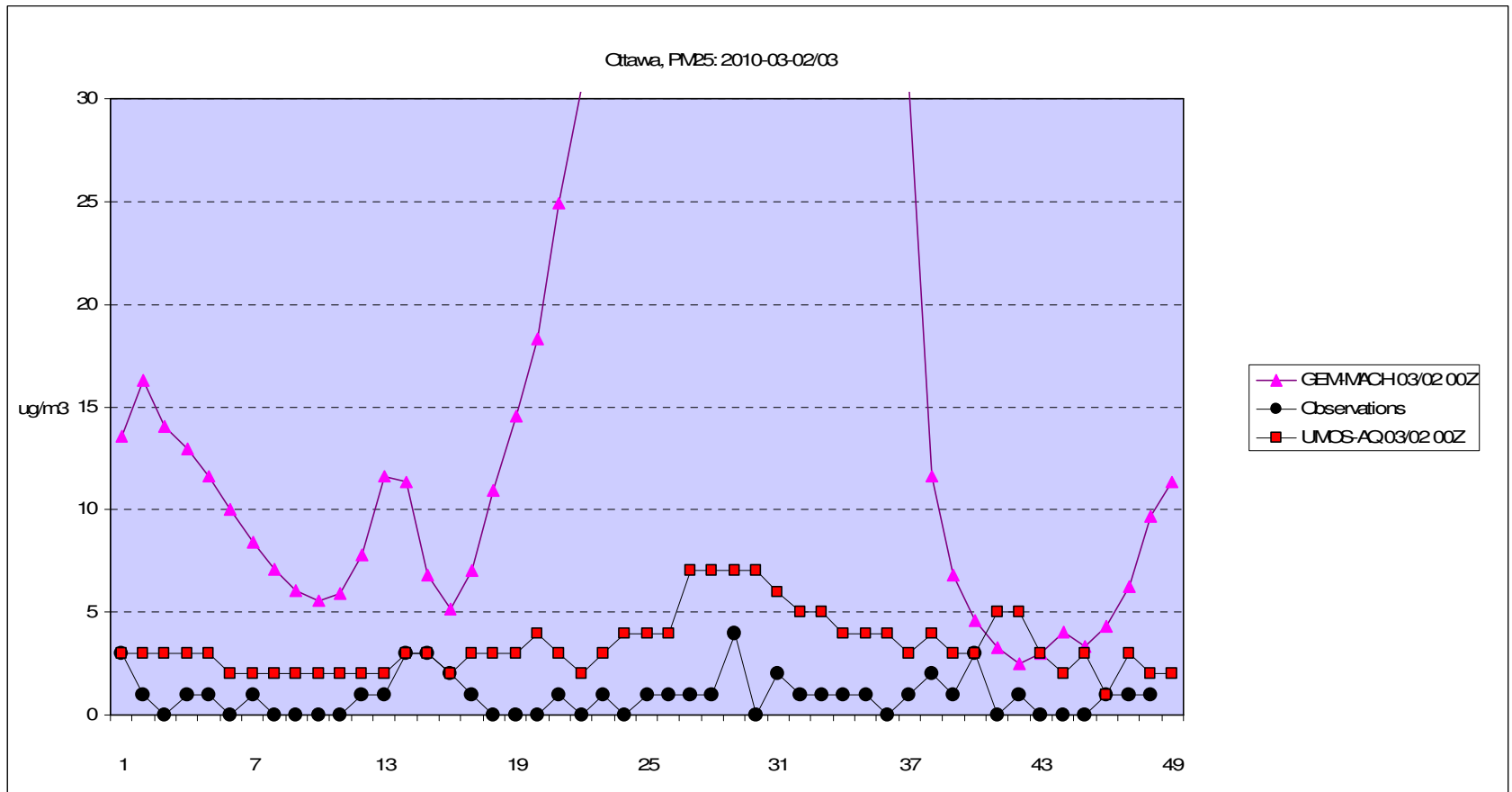


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Special case: 2010-03-02 Ottawa (PM25) (cont'd)



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New Emissions (March 2nd 2010)

- In March 2nd 2010 (12Z) an operational implementation of a new Emissions inventory was applied to GEM-MACH15
- Due to a lack of appropriate (period and format) hindcast data we decided to not perform a switchover and let the system adapt
- A performance study for the winter season has been generated that shows a smooth transition with no significant loss in the quality of the forecasts



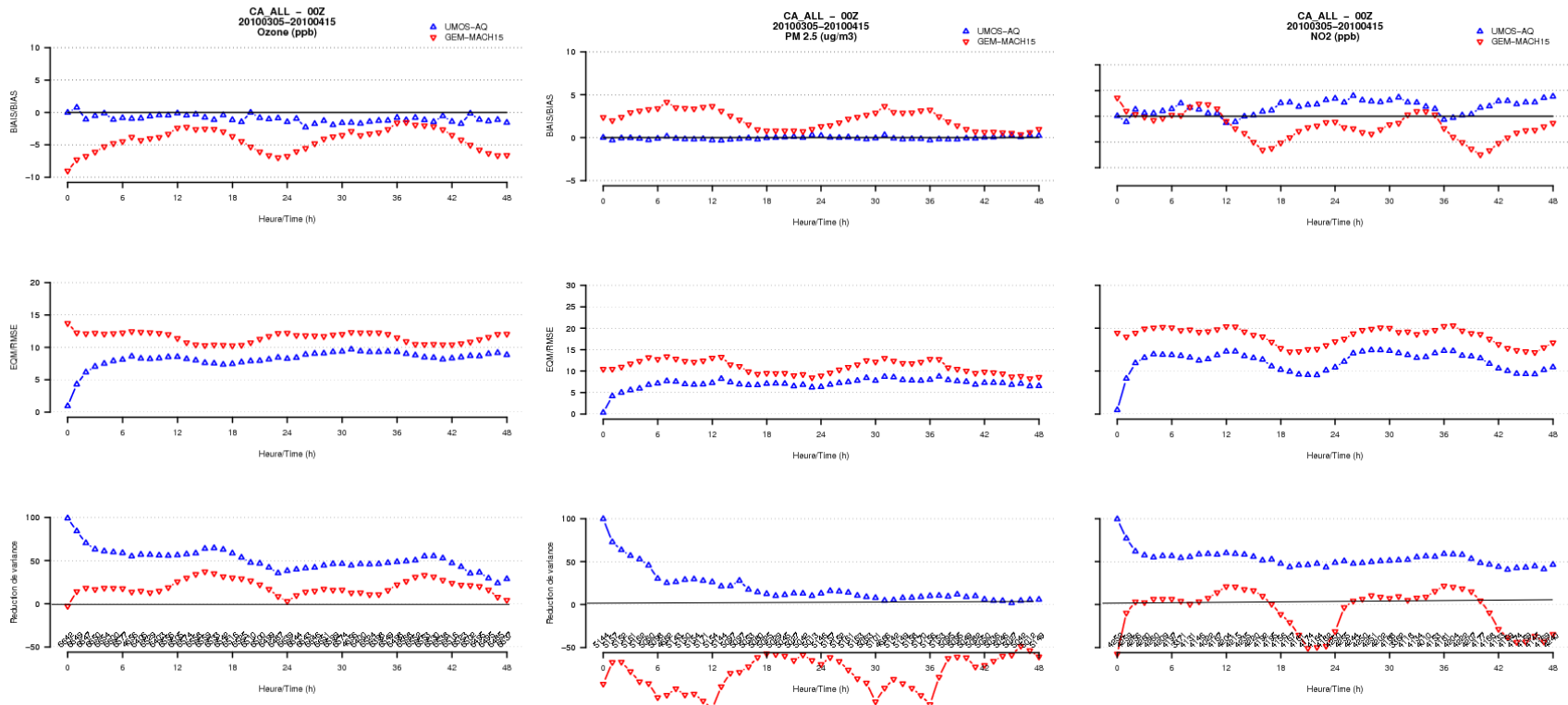
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New Emissions - Evaluation scores

40 days [2010-03-05, 2010-04-15]



Forecasting extreme events (episodes)

- MLR (linear) techniques tend to “push” the forecast towards a mean value therefore making extreme event forecasting more challenging.
- Difficult to acquire extensive training data: percentage of episodes compared to “average” values is small.
- MDA approach could be more skilful in episode forecasting.



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Future

- In the next CPOP a proposal will be made for an operational implementation.
- Possibility to generalize the forecasts by using MIST (Optimal interpolation) in order to produce forecast fields from irregular forecast points.
- Reduce the number of predictors and simplify the system without loss in the forecast quality.
- MDA may also be evaluated to improve extreme events forecasting.



Conclusions

- Over the last 2 years UMOS-AQ has shown a significant improvement over the direct model output for all three pollutants in both seasons. This fact has been repeatedly shown over long and short term independent verification periods.
- An abrupt model switchover along with a matrix cloning operation did not noticeably affect the quality of the forecasts which demonstrates the robustness of the system.
- UMOS-AQ can provide a high quality national guidance in AQ forecasting.
- Future improvement is expected as more cases get accumulated and a full transition to GEM-MACH15 is completed.
- UMOS has shown great potential into a different field such as AQ.



Merci / Thank you !

Questions ? – Comments ?

For more info:
stavros.antonopoulos@ec.gc.ca



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