

1       **Impacts of Predicted Liquid Fraction and Multiple Ice-Phase Categories**  
2       **on the Simulation of Hail in the Predicted Particle Properties (P3)**  
3                       **Microphysics Scheme**

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17       **Key Points:**

- 18       • Predicting the liquid fraction improves the parameterization of melting and results in  
19       reduced hail sizes.
- 20       • The use of multiple free ice-phase categories reduces the dilution of bulk physical  
21       properties of ice and increases hail sizes.
- 22       • With recent updates, the Predicted Particle Properties bulk microphysics scheme is well-  
23       suited for modeling hailstorms.  
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**Abstract**

Since its inception in 2015, the Predicted Particle Properties (P3) bulk microphysics scheme has undergone several major developments. Ice is now represented by a user-specified number of freely-evolving (non-prescribed) categories; the liquid fraction of particles is predicted, thereby allowing for mixed-phase particles and improved process rates; and the scheme is triple-moment, which allows the size spectral width to vary independently. As such, P3 is now capable of representing key properties and microphysical processes that are important for hail. In this study, the impacts of some new capabilities of P3 on the simulation of hail amounts and sizes are examined in the context of quasi-idealized, high-resolution (200-m isotropic grid spacing) simulations using a cloud-resolving model. Two hailstorm cases are simulated, one from Oklahoma (US) and the other from Alberta (Canada), each initialized from qualitatively different soundings. For each case, sensitivity tests are conducted to examine the impacts of 1) the predicted liquid fraction, and 2) the number of free ice-phase categories (varied between one and four). Predicted liquid fraction leads to a more realistic treatment of melting and shedding, which decreases mean ice (hail) sizes during melting compared to the original P3 scheme. In contrast, with an increasing number of ice-phase categories, the problem of property dilution is mitigated, resulting in greater quantities and larger sizes of hail reaching the surface. It is argued that the latest version of the P3 scheme is now capable of realistically representing the major microphysical processes involved in the initiation, growth, and decay of hail.

45

**Plain Language Summary**

The Predicted Particle Properties (P3) scheme is a piece of computer code, used in atmospheric numerical models, that calculates the bulk effects of cloud processes that ultimately lead to precipitation. Since its inception in 2015, the P3 scheme has undergone several major developments. Ice-phase particles are now represented by a user-specified number of freely evolving generic categories; P3 now predicts the liquid fraction which allows for mixed-phase particles and more accurate calculations of physical processes; and there is flexibility in representing the distribution of ice particle sizes. With these features, P3 is now capable of simulating the physical processes related to the growth and decay of hail. In this study, the impacts of the new features on the simulation of hail were examined using a fine-scale, detailed numerical model capable of simulating individual storms. Two hailstorm cases were simulated, each with different pre-storm environments in order to illustrate the robustness of the conclusions. The predicted liquid fraction was shown to improve the simulation of hail melting and resulted in smaller hail at the surface. In contrast, the use of two or more ice-phase categories allowed for the simulation of larger hail sizes.

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## 63 **1 Introduction**

64 A major component of an atmospheric model is the bulk microphysics scheme (BMS), which  
65 parameterizes the effects of grid-scale clouds and precipitation. Despite the existence of more  
66 detailed approaches, bin-resolving and Lagrangian super-particles schemes, BMSs continue to  
67 play important roles in research and are ubiquitous in operational numerical weather and climate  
68 prediction models. This is expected to continue into the foreseeable future. Since ice was  
69 introduced into single-moment schemes decades ago (e.g., Rutledge & Hobbs, 1983), the  
70 treatment of ice-phase microphysics has become increasingly sophisticated. Over the years, more  
71 categories were added to expand the range of properties of frozen particles represented in  
72 models, in particular terminal fall speeds (e.g. Lin et al., 1983). Detailed two-moment schemes  
73 later become popular (e.g. Ziegler, 1985; Murakami et al., 1990; Ferrier, 1994; Meyers et al.,  
74 1997; Reisner et al., 1998; Seifert & Beheng, 2006; Morrison et al., 2005; Morrison et al., 2009).  
75 Three-moment schemes then followed (Milbrandt & Yau, 2005a,b; Yang & Yau, 2008; Dawson  
76 et al., 2014; Loftus et al., 2014; Chen & Tsai, 2016). More recently, the prognostic volume  
77 mixing ratio for rimed ice categories (graupel and hail) was included in some schemes, which  
78 allows for the prediction of the bulk particle density (Mansell et al., 2010; Milbrandt &  
79 Morrison, 2013; Jensen et al., 2023; Park et al., 2024). Tsai & Chen (2020) developed a BMS  
80 which, in addition to bulk density, predicts the shape evolution of the ice-phase categories.  
81 Thompson & Eidhammer (2014) included prognostic aerosols, which ultimately is important in  
82 determining droplet sizes; this in turn impacts the rime density in the most recent version of this  
83 scheme, which now includes prognostic graupel density. With all of these predictive aspects,  
84 BMSs are now capable of simulating the key microphysical processes involved in the production  
85 and growth of hail.

86 Despite many advances, most BMS are still constructed around the original approach of using  
87 pre-defined ice-phase categories (e.g. “snow”, “graupel”, etc.) usually with fixed parameters to  
88 define or diagnose the values of bulk physical properties. This traditional approach has some  
89 inherent and insurmountable weaknesses. First, the properties of natural ice particles vary  
90 considerably. This is in contrast with liquid drops and droplets, whose density is constant and  
91 with spherical shape (except for large drops). Perhaps most importantly, the traditional approach  
92 requires the parameterized process of conversion between categories; this process is unphysical  
93 and is purely a necessary artifact of the construction of the scheme. It is therefore unconstrained  
94 by observations or theory and its closure assumptions introduce model sensitivity (Morrison et  
95 al., 2020). Note that these problems are equally inherent to most bin microphysics schemes,  
96 which is illustrated clearly in Xue et al. (2017).

97 An alternative to traditional, pre-defined ice category-based schemes is the property-based  
98 approach, which uses one or more ice-phase categories that do not correspond a priori to a  
99 particular ice type. That is, categories are not assigned a type with corresponding fixed  
100 parameters, but rather each category includes a set of prognostic variables from which physical  
101 properties can be derived and vary continuously in time and space. The first property-based

102 microphysics scheme can probably be credited to Hashino & Tripoli (2007), though this was a  
103 bin scheme. The Predicted Particle Properties (P3) scheme was introduced by Morrison &  
104 Milbrandt (2015), who proposed the concept of a “free” (freely evolving) bulk ice-phase  
105 category. Jensen et al. (2017) also constructed a property-based bulk scheme called Ice-  
106 Spheroids Habit Model with Aspect-Ratio Evolution (ISHMAEL), with a unique capacity to  
107 predict the evolution of the aspect ratios of ice crystals. To our knowledge, these are the two only  
108 property-based BMSs that have been developed that completely eschew traditional ice  
109 categories.

110 Although the P3 scheme was originally constructed as a proof of concept, it initially performed  
111 well against detailed, mature, well-calibrated, traditional BMSs. This was true for both historic  
112 case studies (Morrison et al., 2015) and in a real-time experimental numerical weather prediction  
113 (NWP) context (see Milbrandt et al., 2021). It was (and is) also computationally efficient due in  
114 part to its extensive use of pre-computed lookup tables during run time (Morrison et al., 2015). It  
115 has since been interfaced with several mesoscale and large-eddy simulation (LES) models; it has  
116 been used operationally since 2018 in the 2.5-km deterministic NWP system of Environment and  
117 Climate Change Canada (ECCC) (Milbrandt et al., 2016; Milbrandt et al., 2018) and it is  
118 available in the Weather Research and Forecasting model (WRF; Skamarock et al., 2008) and the  
119 Energy Exascale Earth System Model (E3SM) climate model (Wang et al., 2021). Since its  
120 inception, P3 has undergone several major developments. This includes the generalization to  
121 multiple ice-phase categories (Milbrandt & Morrison, 2016), prognostic liquid fraction of  
122 particles (Cholette et al., 2019), a triple-moment representation of ice (Milbrandt et al., 2021),  
123 and a diagnostic subgrid-scale cloud fraction (Jouan et al., 2020). A triple-moment rain version  
124 was also developed (Paukert et al., 2019) though this was not incorporated into the main P3 code  
125 stream.

126 Although the P3 scheme is now quite mature and its representation of ice is highly versatile,  
127 some aspects have not yet been examined with regards to their impacts on the simulation of hail.  
128 In a study using idealized supercell simulations, Johnson et al. (2019) compared the performance  
129 of P3 to that of two detailed traditional BMSs and found P3 to perform poorly in comparison for  
130 the simulation of dual-polarization radar signatures for hail. These deficiencies were alleviated  
131 by the introduction of triple-moment ice (and also improved for a modified double-moment ice  
132 configuration) in Milbrandt et al. (2021), which relaxed the restriction of mean ice sizes by  
133 varying the particle size spectral width and controlling gravitational size sorting. The impacts of  
134 other potentially important recent innovations to P3 have not yet been explored.

135 The goal of this study, therefore, is to examine the following question: What are the impacts on  
136 the simulation of hail from 1) the predicted liquid fraction, and 2) multiple free ice categories?  
137 This is examined using quasi-idealized high-resolution (200-m grid spacing) cloud model  
138 simulations using the latest version (v5) of P3 for two deep convective hail-producing storms in  
139 different environments.

140 The remainder of the paper is organized as follows: Section 2 describes the experimental  
 141 design, including the cloud model configuration and the two idealized hailstorm cases. Section 3  
 142 summarizes the control simulations for the two cases. Section 4 presents the results of two sets of  
 143 sensitivity experiments, one looking at the effects of liquid fraction and the other on using  
 144 different numbers of ice categories. Discussion is provided in section 5 with concluding remarks  
 145 in section 6.

## 146 2 Experimental design

### 147 2.1 Overview of the P3 scheme

148 A complete description of the original single-category version of the P3 scheme is provided in  
 149 Morrison & Milbrandt (2015) and an overview of the most recent version (at the time of  
 150 writing), with details on how triple-moment ice and predicted liquid fraction were combined, is  
 151 given in Cholette et al. (2023). The liquid-phase component of P3 is a standard two-category  
 152 (“cloud droplets” and “rain”), two-moment (prognostic mass and number) formulation, with a  
 153 gamma particle size distribution (PSD) for each category. Ice is represented with a user-specified  
 154 number ( $nCat$ ; all symbols are defined in Table A1) of free ice-phase categories. The PSD of  
 155 each ice category is also represented by a gamma function. For all hydrometeor categories, the  
 156 PSD is of the form

$$157 \quad N(D) = N_0 D^\mu e^{-\lambda D}, \quad (1)$$

158 where  $N(D)$  is the number of particles between  $D$  and  $D + dD$ ,  $D$  is the equivalent diameter, and  
 159  $N_0$ ,  $\lambda$ , and  $\mu$  are the intercept, slope, and shape parameters, respectively (see Table 1 for units).  
 160 There are between four and six prognostic mixing ratio variables per ice category: the total mass  
 161 ( $Q_{i,tot}$ ), rime mass ( $Q_{i,rim}$ ), total number ( $N_{i,tot}$ ), rime volume ( $B_{i,rim}$ ), and optionally the sixth  
 162 moment ( $Z_i$ ) and liquid mass accumulated on ice ( $Q_{i,liq}$ ). Since all of these quantities are mixing  
 163 ratios, they are conserved and can be advected and diffused appropriately. Note,  $Q_{i,tot}$  is the total  
 164 mass of a given ice (in general, mixed-phase) category,  $n$ , equal to the sum of  $Q_{i,liq}$ ,  $Q_{i,rim}$ , and the  
 165 deposition mass (which can be computed but is not actually a prognostic variable) for the  
 166 category. The actual total mass of ice- or mixed-phase particles (e.g. in a grid element) is the sum  
 167 of all  $Q_{i,tot}(n)$  for  $n$  from 1 to  $nCat$ .

168 From these prognostic variables, various bulk physical properties can be computed, including  
 169 the rime fraction ( $F_{rim}$ ), liquid fraction ( $F_{liq}$ ), density ( $\rho$ ), mass-weighted mean terminal fall  
 170 speed ( $V_m$ ) (and also the number-weighted and sixth moment-weighted fall speeds, for the  
 171 sedimentation of  $N_{i,tot}$  and  $Z_{i,tot}$ , respectively), and mass-weighted mean diameter ( $D_m$ ). In this  
 172 study, we also examine the maximum hail size,  $D_{h,max}$ , which can be diagnosed from the tail of  
 173 the PSD following the method described in Milbrandt et al. (2021).

174 An overview of the representation of ice (and mixed-phase particles) and the major  
 175 growth/decay processes is depicted in Fig. 1. Pristine crystals can be initiated from either  
 176 primary nucleation, homogeneous freezing of cloud droplets, or secondary ice production (SIP).

177 Currently the only SIP mechanism included is rime splintering, but in the near future other  
 178 modes will be added, such as the fragmentation of freezing drops, which was shown to be  
 179 important for tropical convection (see Qu et al., 2022). Ice can grow (or decay) from deposition  
 180 (sublimation), undergo aggregation, both within a given ice category and between categories,  
 181 and grow gradually from riming. With the predicted liquid fraction, liquid can remain on the  
 182 particles during wet growth and possibly re-freeze before being shed as rain. During melting, the  
 183 small ice sizes in the PSD melt instantly to rain while the remaining ice mass is retained as  
 184 partially-melted mixed-phase particles. Shedding during melting and wet growth is computed  
 185 explicitly with the prediction of liquid fraction. Mixed-phase particles can also re-freeze if they  
 186 fall or are transported into subfreezing air. As in the original scheme, rain can freeze to solid  
 187 (maximum-density) ice via either immersion freezing or through collisions with existing ice.  
 188 Thus, hail embryos can originate from either graupel or frozen drops, and since freezing has a  
 189 drop-size dependence there is an indirect dependence on aerosols for the origin of hail embryos.  
 190 (Currently P3 does not have prognostic aerosol concentrations, though this will be implemented  
 191 in the near future.)

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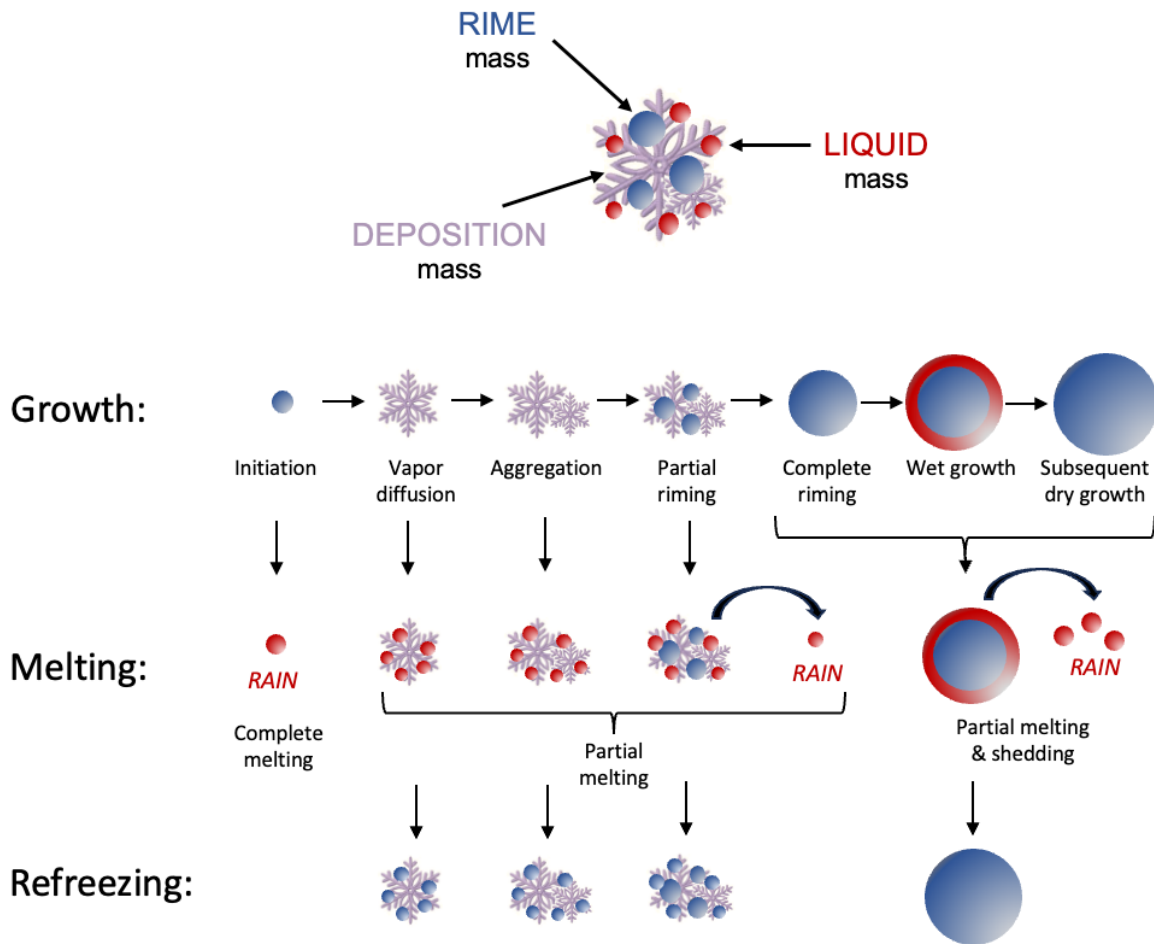
193 **Table 1.** List of simulation configurations. Runs are named with the convention *case-*  
 194 *configuration*. The *case* is either “OK” or “AB” to denote the Oklahoma [date] or Alberta [date]  
 195 case, respectively. The control (“CTR”) configuration has predicted liquid fraction off and uses  
 196 one ice category. “LF” denotes liquid fraction on. For multi-ice-category runs, (x) in “(x)CAT”  
 197 indicates the number of ice categories, which is implicitly 1 if not indicated. “MOD” denotes  
 198 modified; see main text for details. All simulations use 3-moment-ice.

199

Run name	Liq-Frac	nCat
OK-CTR	off	1
OK-LF	on	1
OK-CTR-MOD	off	1
OK-LF-MOD	on	1
OK-LF-2CAT	on	2
OK-LF-3CAT	on	3
OK-LF-4CAT	on	4
AB-CTR	off	1
AB-LF	on	1
AB-LF-2CAT	on	2
AB-LF-3CAT	on	3
AB-LF-4CAT	on	4

200

## Representation of Ice/Mixed-Phase Hydrometeors in P3



201

202 **Figure 1.** Depiction of the representation of ice-phase and mixed-phase hydrometeors and the  
 203 main microphysical processes related to ice in P3.

204

### 205 2.2 Summary of idealized simulations

206 In order to establish robustness of the results, this study examined two hailstorm cases, each  
 207 with different geographical locations and pre-storm environments. The first is from Oklahoma,  
 208 USA on 1 June 2008. The observed storm had a warm cloud base, implying an increased  
 209 likelihood of predominantly frozen-drop hail embryos (Young 1993), high CAPE, and was  
 210 observed to produce hail sizes at the surface up to 10 cm. This was also the case used in  
 211 Milbrandt et al. (2021). The second case was from Alberta, Canada on 13 June 2020. This case  
 212 had a colder cloud base, implying more likelihood of graupel embryos, relatively lower CAPE,  
 213 yet the observed storm produced a prolific quantity of large hail. The initial sounding for the  
 214 Alberta case also had stronger mid-to-upper-level vertical shear. The two cases are hereafter

215 referred to as the OK (Oklahoma) and AB (Alberta) cases.

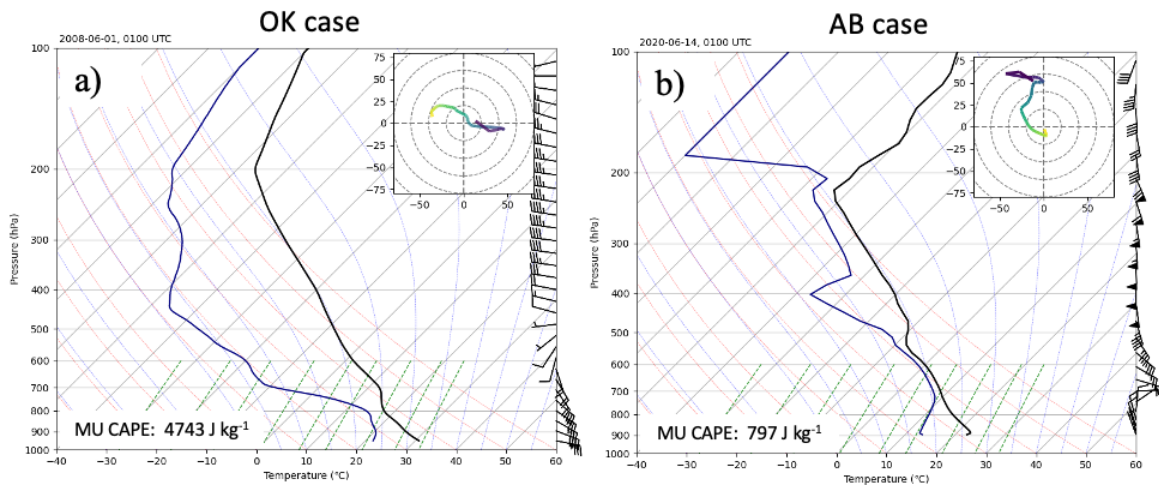
216 This study is done through examination of quasi-idealized cloud-resolving numerical  
217 simulations using the Cloud Model 1 (CM1) model (Bryan & Fritsch, 2002). CM1 is a  
218 nonhydrostatic fluid flow model, commonly used to simulate various atmospheric phenomena.  
219 We used the compressible dynamics option with sub-time steps for acoustic modes and 5<sup>th</sup> order  
220 advection of scalars using the Weighted Essential Non-Oscillatory scheme (Balsara & Shu,  
221 2000). All simulations used a 200-m isotropic grid spacing and open lateral boundary conditions.  
222 The domain sizes were 1000 x 1000 horizontal grid points for OK and 1250 x 1250 for AB, both  
223 with 100 vertical levels. The model time step was 2 s. A Rayleigh damping layer was applied to  
224 the uppermost 5 km of the model with a damping timescale of  $1/300 \text{ s}^{-1}$  toward the base state  
225 sounding. The upper and lower boundaries were free slip and surface heat fluxes were zero.  
226 Horizontally homogeneous initial conditions were used, based on a single sounding for each case  
227 (see below). Subgrid-scale mixing used the 1.5 order prognostic turbulent kinetic energy method  
228 similar to Deardorff (1980). Radiation and all other physical processes besides microphysics  
229 were neglected. Convection was initiated using the vertical motion nudging method of Naylor &  
230 Gilmore (2012), with an ellipsoidal region (with a horizontal radius of 15 km and a vertical  
231 radius of 1500 m) of vertical motion (peaking at  $10 \text{ m s}^{-1}$  in the center), with an inverse e-folding  
232 time of  $0.5 \text{ s}^{-1}$ , and nudging decreasing to zero over 20 min. For each case, a control  
233 configuration was run along with sensitivity tests related to prediction of liquid fraction and the  
234 number of ice-phase categories. All simulations examined in this study are listed in Table 1.

235 The soundings used to initiate each case, shown in Fig. 2, were taken from operational NWP  
236 models. The OK sounding was extracted from the 0100 UTC 1 June 2008 Rapid Update Cycle  
237 (RUC) analysis near the region of the initiation of the observed storm (see Milbrandt et al., 2021  
238 and references therein). The AB case was initialized from a 6-h model forecast sounding from  
239 ECCO's Regional Deterministic Prediction System (RDPS), valid at 0000 UTC 14 June 2020,  
240 near the city of Calgary, near the initiation region of the storm. The temperature and humidity  
241 profiles were modified slightly to prevent initial instabilities. Also, the mean 0-6 km wind (the  
242 approximate storm translation speed) was subtracted from the sounding winds such that the  
243 model storms remained approximately in the domain center throughout the simulations. While a  
244 detailed examination of the simulations is provided in the following section, an illustration of the  
245 model storms for the control (CTR) runs for each case is shown in Fig. 3, with qualitative  
246 comparisons to radar observations. This is not intended to serve as a verification per se, but  
247 simply as an illustration of the overall realism of the simulated hailstorms. For the OK case, the  
248 combination of  $Z_H > 35 \text{ dBZ}$  with  $Z_{DR} < 0.5 \text{ dB}$  in the observed storm (Fig. 3, top right panels) is  
249 a dual-polarization radar signature for the presence of hail (e.g., Kumjian et al., 2010). The  
250 model storm (OK-CTR) has a similar reflectivity structure with the presence of hail (high-  
251 density ice) along the right flank. For the AB case, the reflectivity of the observed storm (Fig. 3,  
252 bottom right panel) had high values ( $> 60 \text{ dBZ}$ ) along the right flank near the surface ( $0.4^\circ$  plan  
253 position indicator [PPI]), indicative of hail at the surface. The model storm (AB-CTR; Fig. 3,



254 bottom-left panel) has a reasonably realistic reflectivity structure with a hail along the right edge  
 255 of the reflectivity core. Thus, the model simulations for the two cases appear to be sufficiently  
 256 realistic in terms of reflectivity structure and their production of hail at the surface that we can  
 257 confidently use this model framework to examine the impacts of details in the microphysics  
 258 scheme for the simulation of hail through model sensitivity tests.

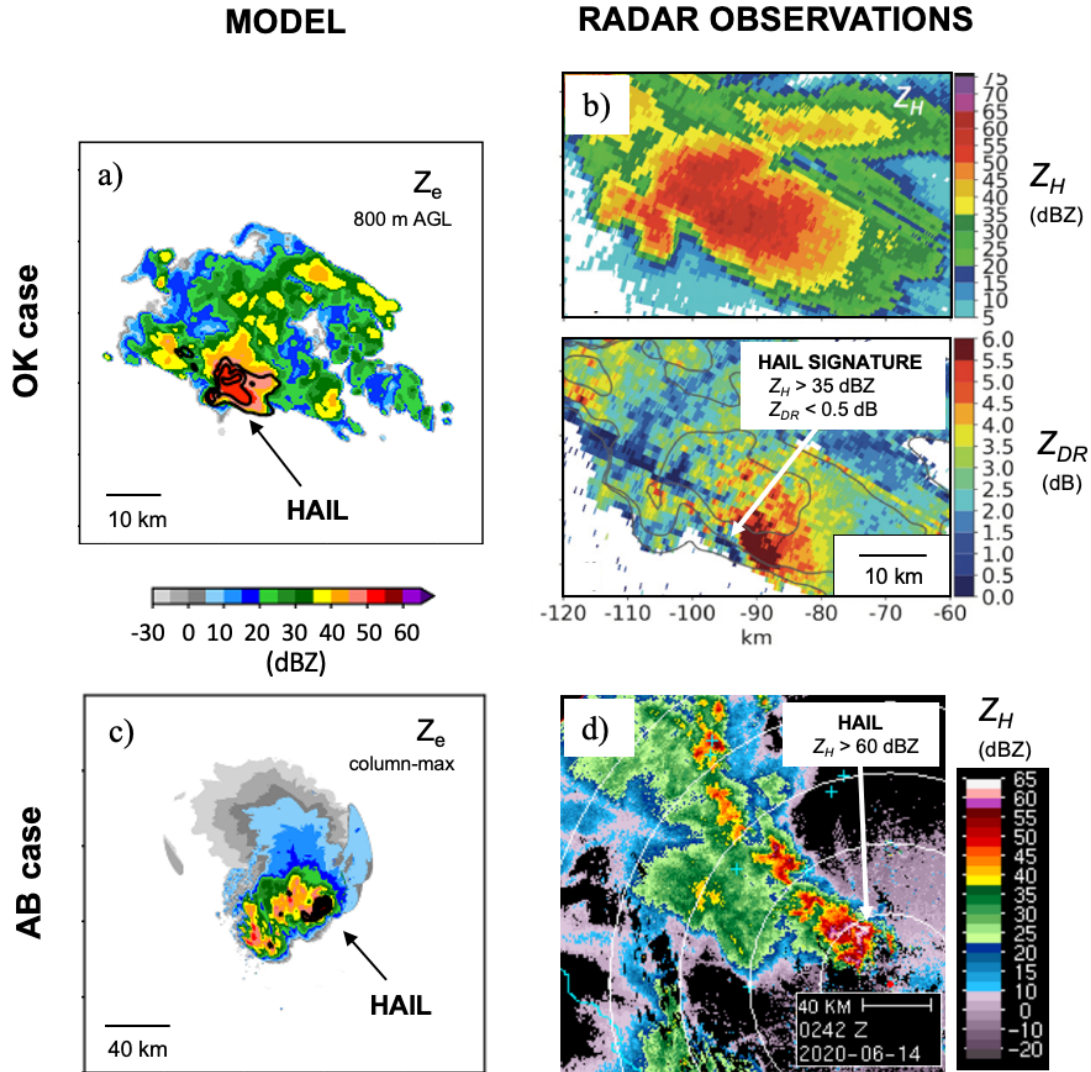
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261 **Figure 2.** Initial soundings and hodographs for a) OK case, valid at 0100 UTC 1 June 2008, and  
 262 b) AB case, valid at 0100 UTC 14 June 2020. See main text for additional details. Sounding  
 263 plots were created using MetPy. Winds are in knots with conventional meaning for the barbs.

264



265

266 **Figure 3.** a) Low-level (800 m)  $Z_e$  (shading) and  $Q_{i,tot}$  (black contours) from OK-CTR (50 min).  
 267 b) Horizontal ( $Z_H$ ) and differential ( $Z_{DR}$ ) reflectivity from operational radar (see Milbrandt et al.  
 268 2021 and references therein for details). c)  $Z_{e,c}$  (shading) and lowest-level  $Q_{i,tot}$  (black contours)  
 269 from AB-CTR (80 min). d)  $Z_H$  from operational ECCC radar (Strathmore, AB), 0.4 deg PPI.  $Q_{i,tot}$   
 270 contours are  $10^{-6}$ , 0.001, 0.1, ...  $g\ kg^{-1}$  (intended for illustration only).

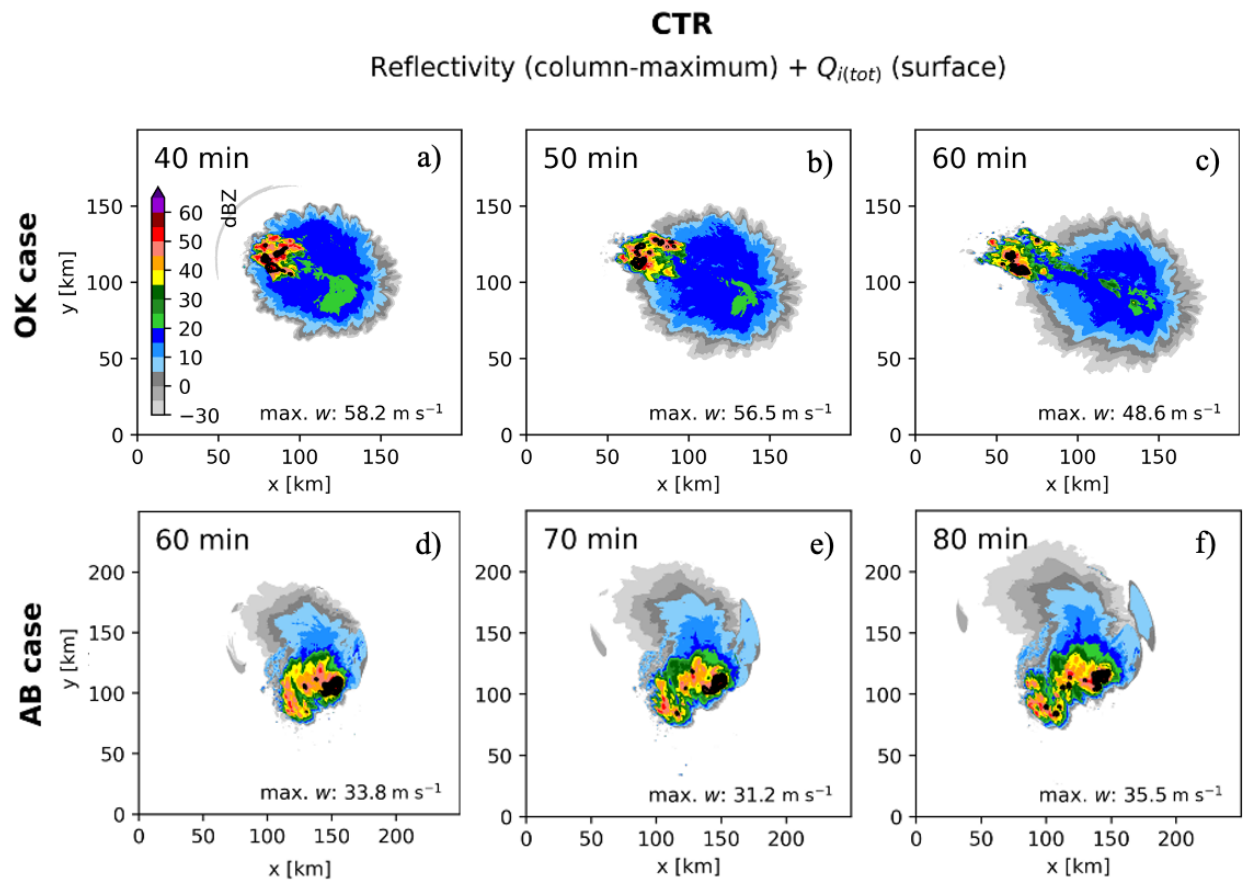
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### 272 3 Results of control simulations

#### 273 3.1 Choice of analysis times

274 In order to reduce the quantity of analysis presented, specific times are chosen for each case for  
 275 which the microphysical fields are examined. The times selected are during the mature, quasi-  
 276 steady stages of the model storms and are representative of the hail growth at other times.  
 277 Periods of the modeled storm evolution for the two cases are given in Fig. 4, which shows

278 snapshots at various times for the column-maximum model equivalent reflectivity ( $Z_{e,c}$ ) and ice  
 279 mixing ratios ( $Q_{i,tot}$ ) at the lowest model level, as well as the domain-maximum vertical motion.  
 280 The simulation times of interest chosen are 60 and 80 min for the OK and AB cases,  
 281 respectively. At these times, both storms are well developed and produce hail at the surface. In  
 282 the figures discussed below, vertical cross sections along the dashed lines in Figs. 5c,6c are  
 283 shown. The cross-section lines are chosen subjectively (but consistently for each run) by the  
 284 following criteria: the lines cross through the point of maximum  $Q_{i,tot}$  at the lowest model level  
 285 (or the point of maximum  $Z_{e,c}$  if the near-surface ice field is zero) and at prescribed  
 286 meteorological angles of  $335^\circ$  for OK case and  $115^\circ$  for AB case, from the rear to the front of the  
 287 storms. For the remainder of the paper, microphysical fields are examined at these times and  
 288 locations. However, the microphysical sensitivities discussed below are general and occur at  
 289 other times (with occasional minor differences).



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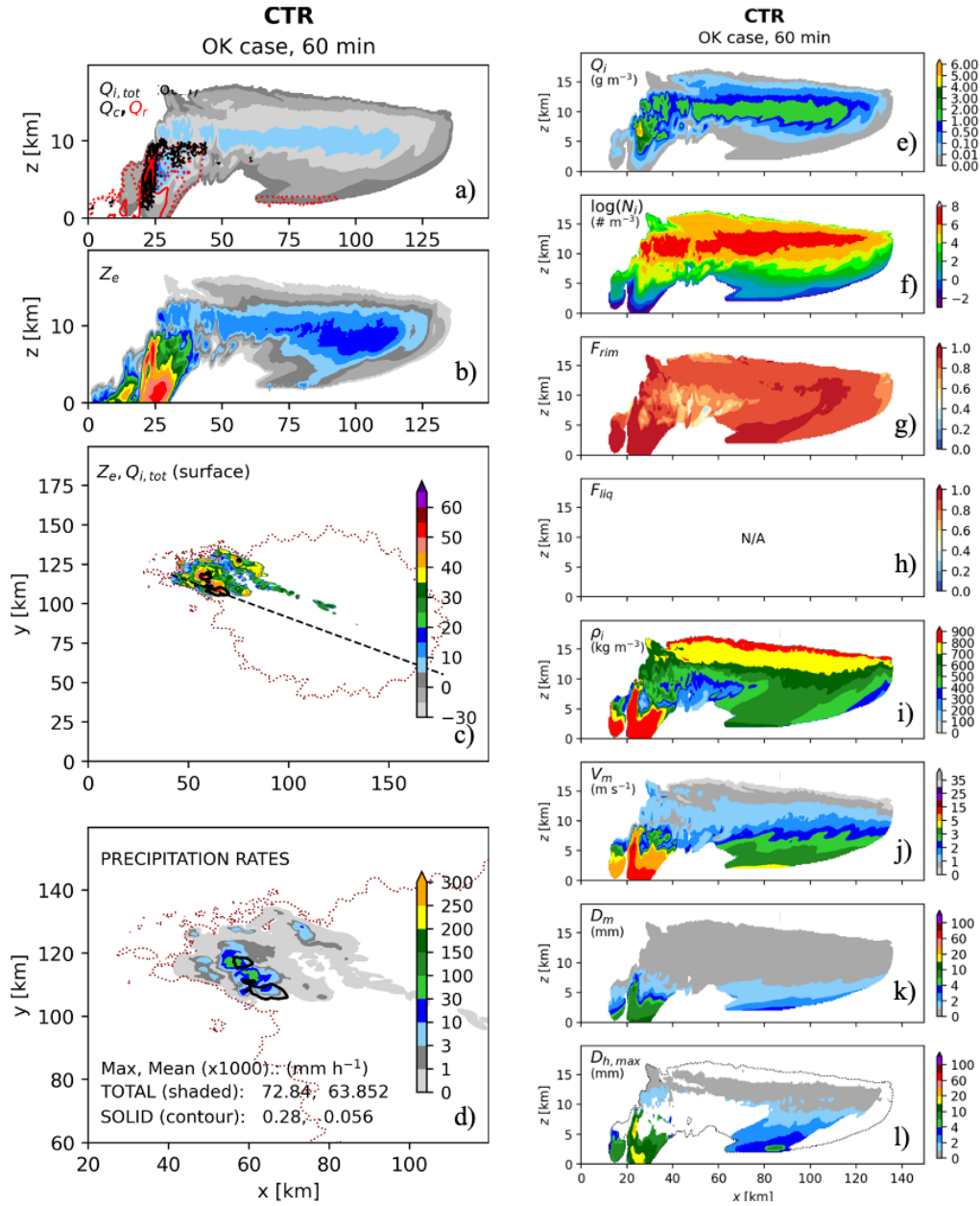
291 **Figure 4.** Column-maximum reflectivity ( $Z_{e,c}$ , shaded) and near-surface (lowest model level) ice  
 292 mass mixing ratio ( $Q_{i,tot}$ , contours of  $10^{-6}$ , 0.001, 0.1, ...  $\text{g kg}^{-1}$ ) at 40, 50, and 60 min (OK-CTR,  
 293 top) and at 60, 70, 80 min (AB-CTR, bottom). Domain-maximum vertical motion (max.  $w$ ) is  
 294 indicated numerically in each panel. (Note, the  $Q_{i,tot}$  contours are intended only to illustrate the  
 295 location of hail near the surface.)

296

## 297 3.2 Case 1: OK 2008

298 Results for the OK-CTR run at 60 min are summarized in Fig. 5. The left column shows  
299 vertical cross sections (see location in Fig. 5c) of the total ice, cloud water, and rain mass  
300 contents (Fig. 5a) and  $Z_e$  (Fig. 5b), the near-surface (first model level)  $Z_e$  and ice content (Fig.  
301 5c) and the total and solid precipitation rates (Fig. 5d). The panels in the right column shows  
302 various fields related to ice: the total ice mass content ( $Q_i$ ) (which includes the liquid portion,  
303 when relevant), number concentration ( $N_i$ ), rime mass fraction ( $F_{rim}$ ), liquid fraction ( $F_{liq}$ ; not  
304 relevant for the CTR but plotted for consistency with subsequent figures), mass-weighted mean  
305 density ( $\rho$ ), mass-weighted mean fall speed ( $V_m$ ), mass-weighted mean diameter ( $D_m$ ), and  
306 maximum hail size ( $D_{h,max}$ ). Note, the mass and number fields are converted from mixing ratios  
307 to concentrations for the vertical cross-section plots.

308 Despite this run having only a single ice-phase category, overall distributions of the ice  
309 physical properties are consistent with what one would expect in a strong deep convective storm.  
310 Most of the ice is heavily rimed ( $F_{rim} > 0.7$ ; Fig. 5g), even the ice falling out of the anvil, owing  
311 to it originating within the strong convective updraft, with copious amount of liquid water  
312 available for riming (Fig. 5a), before being lofted and transported horizontally. In the convective  
313 core the ice has high density (Fig. 5i), large sizes (Figs. 5k), and fast fall speeds (Fig. 5j). At high  
314 altitudes (e.g.  $z > 10$  km) in the anvil, the ice is small (Fig. 5k) and dense (Fig. 5i), with evidence  
315 of gravitational size sorting in forward part of the anvil, and larger mean ice sizes and fall speeds  
316 at lower elevations (Figs. 5k,j). Note that frozen drops are initialized as rimed ice, in order to  
317 prescribe an initial bulk density as that of solid ice upon initiation. Technically this is not rime,  
318 but it allows the model to properly initiate and track the properties (density, shape). The  
319 maximum hail size,  $D_{h,max}$ , appears as the shaded regions in Fig. 5l. The largest sizes are  
320 constrained to a narrow shaft in the convective core, reaching the surface along the right flank  
321 (Figs. 5c,d), while smaller hail is lofted and ejected into the anvil, melting before reaching the  
322 surface. With the liquid-fraction shut off for the control run, the  $F_{liq}$  field (Fig. 5h) is not  
323 applicable (or could be considered zero by design).



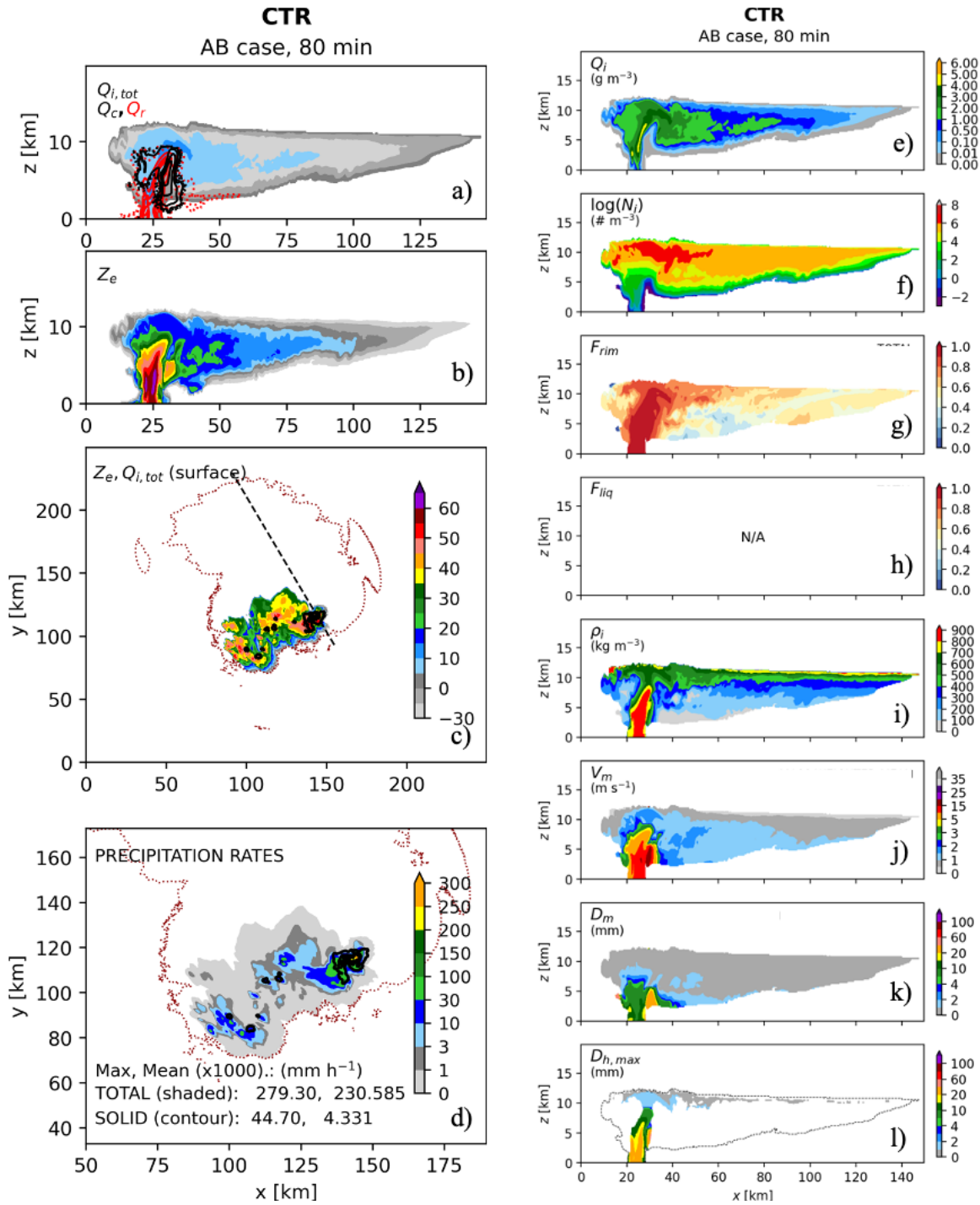
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325 **Figure 5.** OK-CTR simulation at 60 min. a) Vertical cross-sections of  $Q_i$  (shading),  $Q_c$  (black  
 326 contours) and  $Q_r$  (red contours), with shading boundaries and contours of 0.1, 1, 3, 5, and 10 g  
 327  $m^{-3}$ . b) Near-surface (lowest model level)  $Z_e$  (shading) and  $Q_i$  (contours;  $10^{-6}$ , 0.1, and 1 g  $m^{-3}$ ).  
 328 c) Total (shaded) and solid (contours) surface precipitation rates (same shading  
 329 boundaries/contours). Domain maximum and mean for each are indicated numerically. The right  
 330 column has vertical cross sections of e)  $Q_i$ , f)  $N_i$ , g)  $F_{rim}$ , h)  $F_{liq}$ , i)  $\rho_i$ , j)  $V_m$ , k)  $D_m$ , and l)  $D_{h,max}$ .  
 331 The line of the cross-sections is indicated in b). Note, all mass and number mixing ratios  
 332 (quantity  $kg^{-1}$ ) are converted to concentrations (quantity  $m^{-3}$ ) for plotting. The dotted red  
 333 contours in b) and c) are the 30 dBZ  $Z_{e,c}$  isopleths. The dotted line in l) denotes the  $10^{-12}$  g  $m^{-3}$   $Q_i$   
 334 isopleth.

335

## 336 3.3 Case 2: AB 2022

337 The storm structure for the AB-CTR simulation (Figs. 4d-f, 6a,b) is notably different from the  
338 OK case (Figs. 4a-c, 5a,b), but the overall distributions of the ice physical properties are similar  
339 (Figs. 6e-l). There are some quantitative differences, such as lower values of  $F_{rim}$  (Fig. 6g) and  $\rho_i$   
340 (Fig. 6i) further downstream in the anvil, probably due to weaker updraft strengths in the AB  
341 case (Fig. 4) and thus less dominance of ice from frozen drops transported from the convective  
342 core. However, the overall similarity of ice property distributions despite the different storm  
343 structures lends support to the generality of the sensitivity test results presented below.



344

345 **Figure 6.** As in Fig. 5 but for AB-CTR simulation at 80 min.

346

347 **4 Microphysics sensitivity tests**

348 4.1 Impacts of liquid fraction



349 The impacts of the prognostic liquid fraction are examined here. The ice fields are summarized  
 350 in Fig. 7 for OK-LF at 60 min in the left column (corresponding the right column of Fig. 5 for  
 351 OK-CTR) and for AB-LF at 80 min in the right column (corresponding to the right column of  
 352 Fig. 6 for AB-CTR). Most of the ice fields are quite similar to those of the corresponding CTR  
 353 runs, but with some notable and important differences. The  $F_{liq}$  field is now defined and while it  
 354 is zero for most locations with ice present, it increases to 1 with decreasing height as the ice  
 355 melts (Figs. 7d,l). Although it is not apparent in Fig. 7,  $F_{liq}$  can also be non-zero in the hail  
 356 growth zone if the ice is undergoing wet growth. Also, with the predicted liquid fraction the  
 357 model reflectivity is more realistic in melting regions as a result of the bright band (not shown)  
 358 being accounted for (Cholette et al., 2023). The important impact of the inclusion of liquid  
 359 fraction pertaining to this study is that the quantity and size of ice/hail reaching the surface is  
 360 notably reduced in both cases (Figs. 7a,g,h,i,o,p). This is consistent with reduced domain-  
 361 maximum and domain-mean solid precipitation rates for OK-LF and AB-LF (Table 2).

362 This significant reduction of hail reaching the surface is due to differences in the way melted  
 363 ice mass is treated with liquid fraction on and the closure assumptions pertaining to the ice  
 364 number tendency during melting and shedding, all of which we believe increase the realism of  
 365 these parameterized processes in P3. With liquid fraction off, melting in P3 is treated as follows:  
 366 The amount of total ice mass that melts in one time step is computed, following the heat balance  
 367 equation (see Morrison & Milbrandt, 2015 for details), and is assumed to be immediately and  
 368 completely shed to rain. The  $N_{i,tot}$ -tendency due to melting is parameterized using the closure  
 369 assumption of a constant mean-mass diameter  $D_{mm}$ , defined by

$$370 \quad D_{mm} = \left( \frac{6}{\pi \rho_i} \cdot \frac{Q}{N} \right)^{1/3}, \quad (2)$$

371 which is commonly used in multi-moment bulk schemes (e.g. Ziegler, 1985; Ferrier, 1994;  
 372 Milbrandt & Yau, 2005b; Morrison et al., 2005; Mansell et al., 2010). In (2) and the equations  
 373 below, the “ $i,tot$ ” subscripts are excluded from  $Q_{i,tot}$  and  $N_{i,tot}$  for conciseness. Note that for a  
 374 gamma size distribution, this is equivalent to assuming a constant slope ( $\lambda$ ) and shape parameter  
 375 ( $\mu$ ) for this process. With this assumption, the ratio  $Q_{i,tot}/N_{i,tot}$  remains constant so  $N_{i,tot}$  decreases  
 376 proportionally to  $Q_{i,tot}$  during melting, following

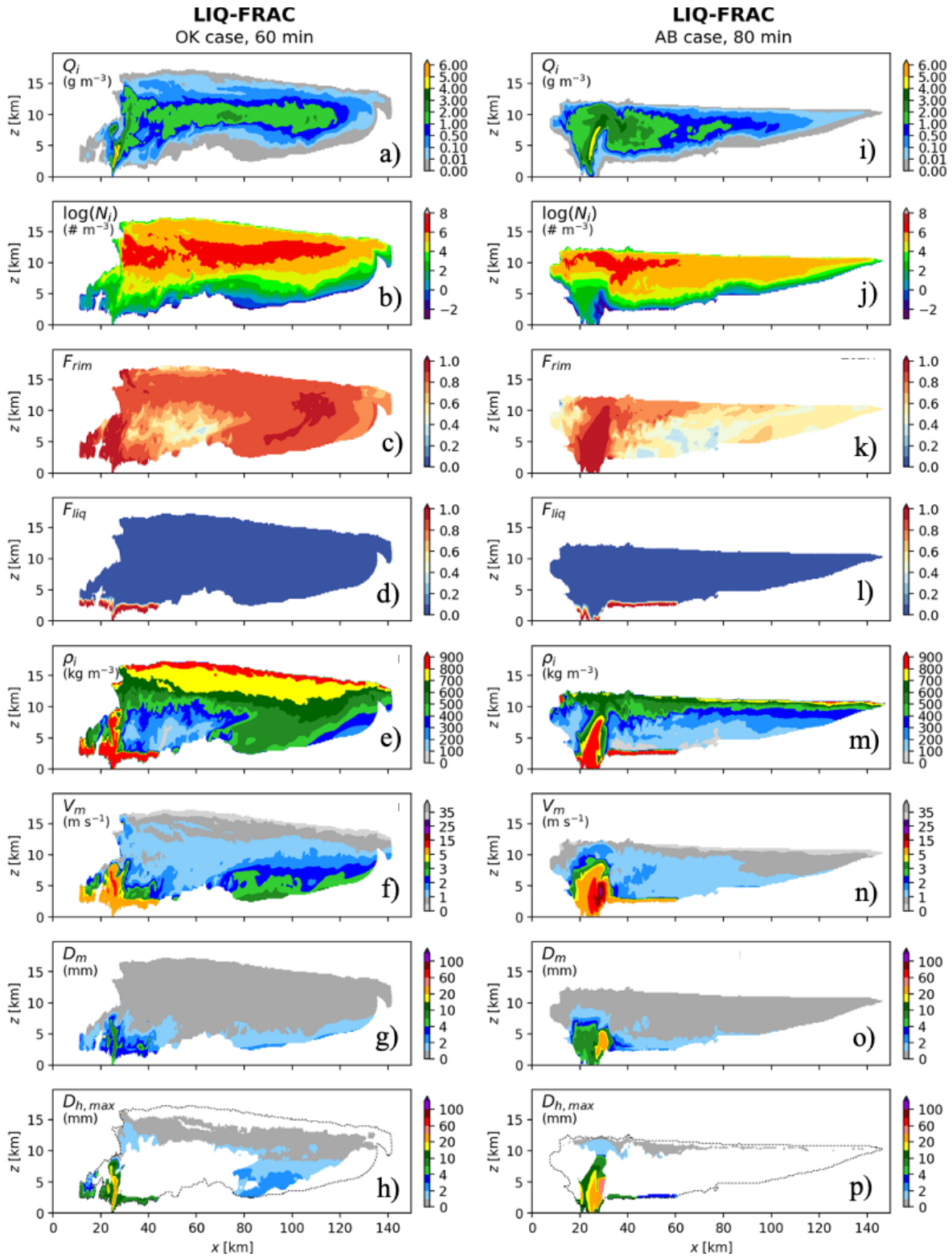
$$377 \quad \left( \frac{dN}{dt} \right)_{MELT} = \frac{N}{Q} \cdot \left( \frac{dQ}{dt} \right)_{MELT}. \quad (3)$$

378 where  $(dQ/dt)_{MELT}$  is the melting rate of  $Q_{i,tot}$ . With liquid fraction on, the treatment of the melted  
 379 ice mass in P3 is physically more realistic (see Cholette et al., 2019 for details). In a given time  
 380 step during melting, a portion of the solid mass, which is  $Q_{i,tot} - Q_{i,liq}$  (i.e. deposition plus rime  
 381 mass), melts to liquid. Small ice particles are assumed to melt completely in the time step and  
 382 thus the mass goes directly to rain ( $Q_r$ ), with  $N_{i,tot}$  decreasing as per (3) (for this portion of the  
 383 melting only). The rest of the melted ice mass, however, is converted from solid to liquid but  
 384 remains within the mixed-phase particles ( $Q_{i,rim}$  decreases,  $Q_{i,liq}$  increases, and  $Q_{i,tot}$  remains the



385 same), increasing the liquid fraction locally and with no change to  $N_{i,tot}$ . Shedding of liquid on  
386 mixed-phase particles is then calculated explicitly (see Cholette et al., 2019, 2023) and depends  
387 on the rime mass fraction, where at the extremes unrimed ice does not shed whereas fully-rimed  
388 ice sheds at the maximum rate. During shedding,  $N_{i,tot}$  does not change while the rain mass and  
389 number increase. As a result, with liquid fraction on the melting of heavily rimed ice combined  
390 with shedding tends to result in a decrease in the mean ice size, as per (2).

391 For unrimed or lightly-rimed ice, the differences in mass changes during melting and the  
392 impacts of this are significant since with liquid fraction on the melted mass remains in mixed-  
393 phase particles, increasing their fall speed towards that of rain until the ice melts completely. For  
394 heavily-rimed ice, although there is a temporary transfer of most of the melted mass to liquid  
395 within mixed-phase particles, most of this mass is quickly shed; thus, the mass transfer from  
396 solid ice to rain is similar to that with liquid fraction off. However, the difference in the  
397 treatment of  $N_{i,tot}$  during melting/shedding results in a much different change in  $D_{mm}$ , with the  
398 size decreasing much faster with liquid fraction on. This results in slower fall speeds, greater  
399 total surface area (since a given mass is distributed over more particles), and thus a faster mass  
400 melting rate – and ultimately melting hail is more likely to completely melt before reaching the  
401 surface. Therefore, with the exception of the change in  $N_{i,tot}$  that can occur for the small melted  
402 fraction that goes directly to rain, for the melting that is first retained in the liquid portion of the  
403 mixed-phase particles and then shed,  $N_{i,tot}$  remains constant.



404

405 **Figure 7.** Bulk ice fields/properties for OK-LF (at 60 min, left) and AB-LF (80 min, right). The  
 406 panels in each column correspond to those in the right column in Fig. 5.

407

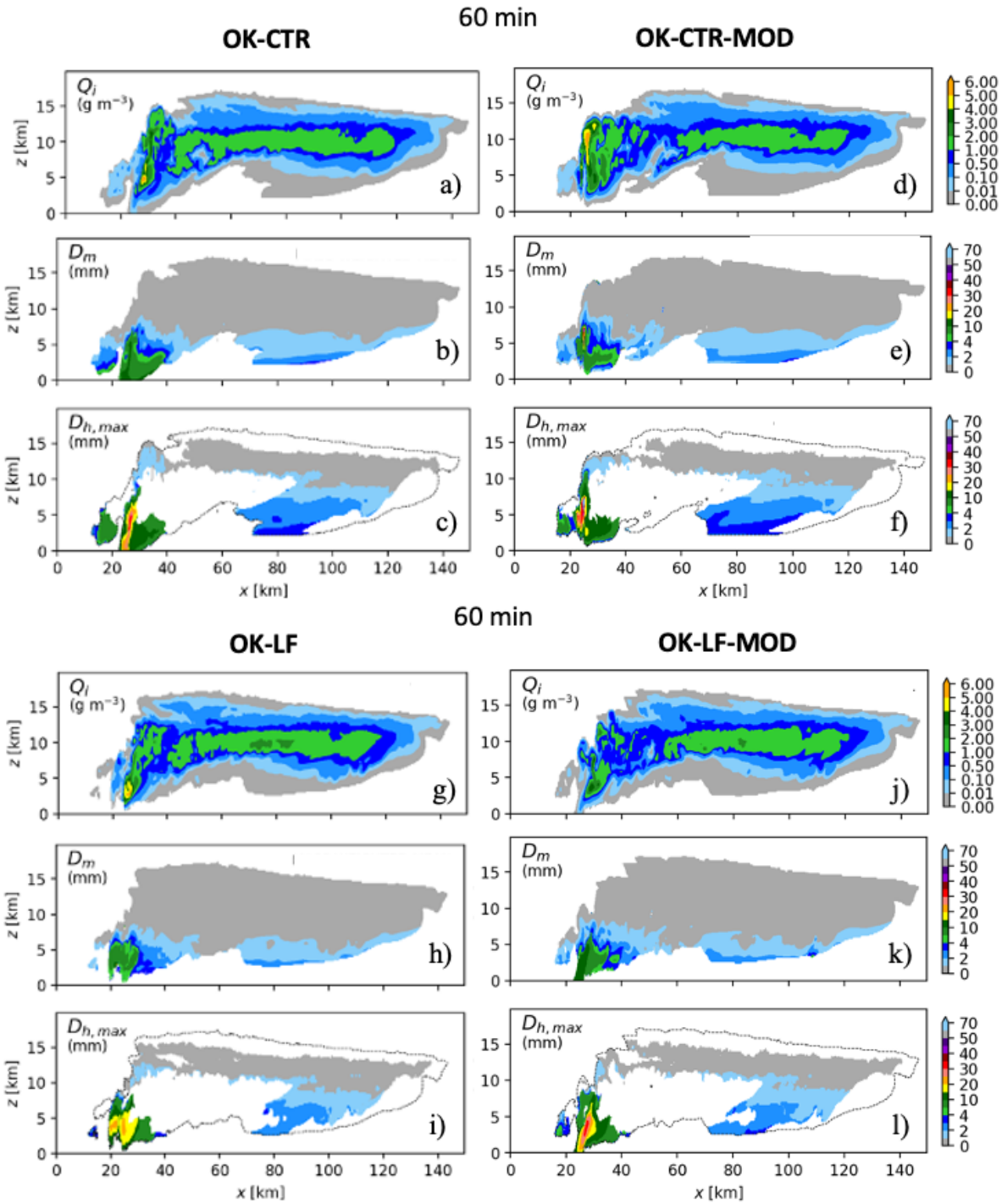
408 **Table 2.** Total and solid surface precipitation rates at 60 min (OK case) and 80 min (AB case).  
 409 Units are in mm h<sup>-1</sup> for domain-maximum and 0.001 mm h<sup>-1</sup> for domain-mean values.

410

Run name	Total (max)	Total (mean)	Solid (max)	Solid (mean)
OK-CTR	102.51	59.085	1.28	0.056
OK-LF	76.52	62.082	0.00	0.000
OK-CTR-MOD	94.04	100.528	0.00	0.000
OK-LF-MOD	134.37	67.362	2.04	0.087
OK-LF-2CAT	103.44	95.499	0.01	0.000
OK-LF-3CAT	128.85	58.073	2.20	0.055
OK-LF-4CAT	53.94	62.703	0.23	0.005
AB-CTR	279.30	230.585	44.70	4.331
AB-LF	242.51	241.034	18.84	1.310
AB-LF-2CAT	313.78	297.526	139.32	7.814
AB-LF-3CAT	268.53	291.970	155.61	19.016
AB-LF-4CAT	234.70	302.090	84.38	6.181

411

412 To illustrate that the differences described above are indeed responsible for the reduced hail at  
 413 the surface in the OK-LF and AB-LF simulations with liquid fraction on, two sensitivity tests  
 414 were run (for the OK case only). For the first test (OK-CTR-MOD), the code in the OK-CTR  
 415 configuration was modified such that  $(dN/dt)_{MELT}$  is set to zero, similar to the overall effect with  
 416 liquid fraction on in OK-LF (except for the portion of small ice melting directly to rain). In the  
 417 second test (OK-LF-MOD), the OK-LF configuration was modified such that  $(dN/dt)_{MELT}$  is  
 418 computed by (3) following the closure assumption for melting in OK-CTR, with  $(dN/dt)_{SHED}$  set  
 419 to zero since it is implicitly included in melting. The impacts on ice mass and mean sizes near  
 420 the surface are shown in Fig. 8 (with fields from the unmodified OK-CTR and OK-LF also  
 421 shown in Figs. 5 and 7, repeated to facilitate comparison). The OK-CTR-MOD run (Fig. 8d,e,f)  
 422 is now similar to the OK-LF run (Fig. 8g,h,i), with no ice mass reaching the surface. Both  $D_m$   
 423 and  $D_{h,max}$  are reduced, and the solid precipitation reaching the surface is now zero (Table 2).  
 424 Conversely, OK-LF-MOD (Fig. 8j,k,l) is similar to OK-CTR (Fig. 8a,b,c), with ice/hail reaching  
 425 the surface, increased particle sizes, and similar solid precipitation rates. Clearly, therefore, the  
 426 differences in the closure assumptions for the changes in  $N_{i,tot}$  during melting/shedding are  
 427 largely responsible for the notable reduction of hail at the surface with the liquid fraction on  
 428 configuration. The results for the same set of tests are qualitatively similar for the AB case (not  
 429 shown).



430

431 **Figure 8.** Vertical cross-sections of  $Q_i$ ,  $D_m$ , and  $D_{h,max}$  for OK case (at 60 min) for OK-CTR [top  
 432 left; a), b), and c)], OK-CTR-MOD [top right; d), e), and f)], OK-LF [bottom left; g), h), and i)],  
 433 and OK-LF-MOD [bottom right; j), k), and l)].

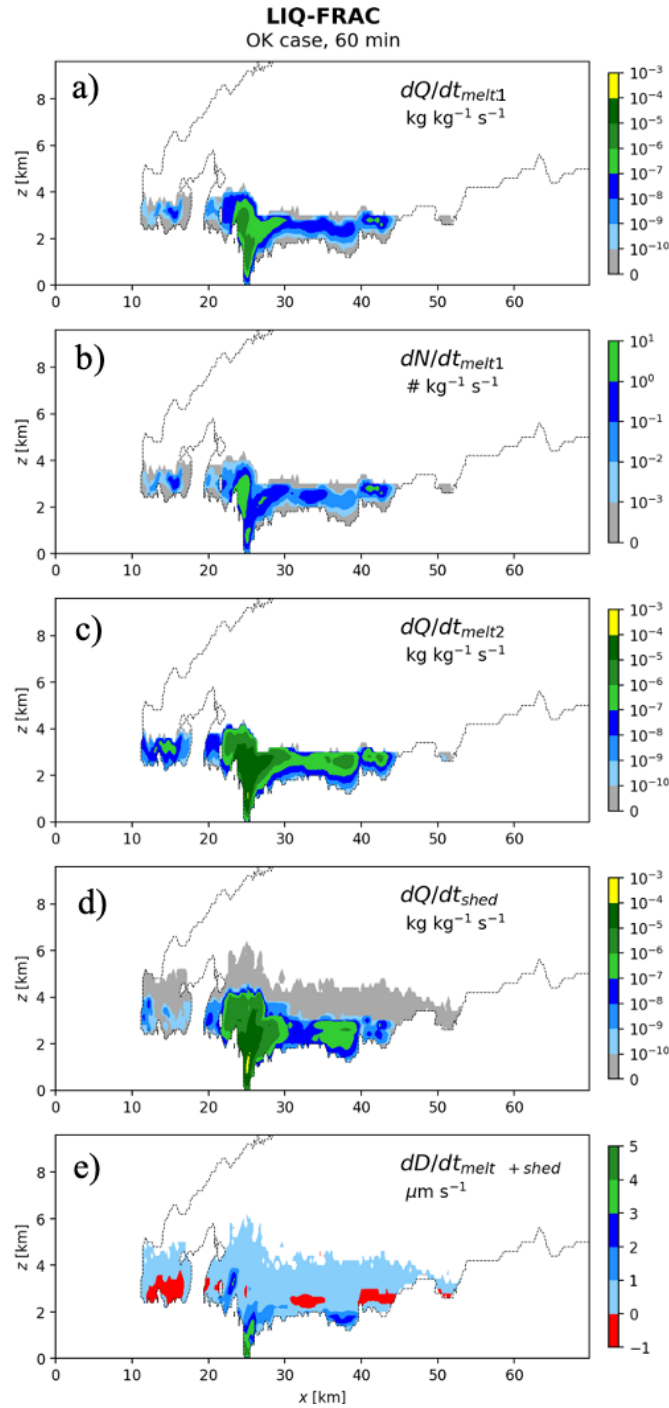
434

435 To illustrate further the differences in melting between these configurations, process rates

436 related to melting/shedding for OK-LF are shown in Fig. 9. Here we partition melting into the  
 437 portion of frozen ice mass that melts directly to rain (MELT1) and the portion that transfers  
 438 frozen mass to liquid mass in the mixed-phase particle (MELT2). Figure 9a,b shows  $(dQ/dt)_{MELT1}$   
 439 and  $(dN/dt)_{MELT1}$ , respectively. The mean particle size due this sub-process remains constant.  
 440 Figure 9c shows  $(dQ/dt)_{MELT2}$ , which does not result in any change in  $Q_{i,tot}$  (only a transfer from  
 441 ice to liquid that remains on the particle), with the mass loss rate due to shedding given by  
 442  $(dQ/dt)_{SHED}$  (Fig. 9d). The resulting rate of change of  $D_{mm}$  due to the combined melting and  
 443 shedding,  $(dD/dt)_{MELT+SHED}$ , is given by

$$444 \quad \left(\frac{dD}{dt}\right)_{MELT+SHED} = \frac{1}{3} \left(\frac{\pi}{6} \rho_i\right)^{-\frac{2}{3}} \cdot \left[ Q^{-\frac{2}{3}} N^{-\frac{1}{3}} \left( \left(\frac{dQ}{dt}\right)_{MELT1} + \left(\frac{dQ}{dt}\right)_{SHED} \right) - Q^{-\frac{1}{3}} N^{-\frac{4}{3}} \left(\frac{dN}{dt}\right)_{MELT1} \right], \quad (4)$$

445 and is shown in Fig. 9e. Clearly the mean size of hail that melts and sheds in the convective  
 446 cores ( $x \sim 25$  km) decreases with the liquid fraction configuration. Note, in computing (4)  
 447 (which is only diagnostic, for Fig. 9e)  $\rho_i$  is held constant for simplicity, so in fact (4)  
 448 underestimates the rate of decrease in mean particle size. This is because for MELT2, although  
 449 there is no change in total mass or number, frozen mass is transferred to liquid ( $Q_{i,liq}$ ) which  
 450 increases the mean particle density, thereby decreasing the mean size as per (2).



451

452 **Figure 9.** Process rates related to melting and shedding for OK-LF (at 60 min): a) and b)  $Q_{i,tot}$   
 453 and  $N_{i,tot}$  tendencies, respectively, due to complete melting (to rain) of small particles (MELT1);  
 454 c) Tendency for mass transfer from solid to liquid within mixed-phase particles due to melting  
 455 (MELT2); d)  $Q_{i,tot}$  tendency due to shedding; e)  $D_{mm}$  tendency due to combination of melting to  
 456 rain and shedding calculated from (4). See main text for details. Positive values denote  
 457 decreases.

458

459 If we believe that the LF configuration indeed allows for a more realistic representation of  
 460 melting and shedding, and also for representing complex mixed-phase winter precipitation  
 461 (Cholette et al., 2019, 2024), a way to improve this in the liquid fraction-off configuration may  
 462 simply be to replace the constant  $D_{mm}$  closure assumption for melting with the one tested in OK-  
 463 CTR-MOD, at least for large values of  $F_{rim}$ . This may be considered for future versions of P3  
 464 since there is some computational cost associated with LF configuration (due to the additional  
 465 prognostic variable,  $Q_{i,liq}$ ). Similarly, the  $(dN/dt)_{MELT} = 0$  approach may be a simple improvement  
 466 in traditional bulk schemes for the graupel and hail categories that do not include prognostic  
 467 liquid fraction. Note that an alternative approach to mitigating the assumption of (3) was  
 468 explored by Mansell et al. (2020), guided by detailed bin scheme calculations of hail melting. It  
 469 should also be noted that Loftus et al. (2014) predicted the liquid fraction (via prognostic heat  
 470 content) for hail.

471 Shedding also happens during wet growth which can, in principle, be modeled more  
 472 realistically with the predicted liquid fraction. With liquid fraction off, the shedding rate (mass)  
 473 is computed as the dry growth rate (i.e. the total accretion rate of liquid water) minus the wet  
 474 growth rate, computed from a heat balance equation, based on the Shuman-Ludlam limit (Young,  
 475 1993). With liquid fraction on, this difference in unfrozen collected mass remains as liquid on  
 476 the particles ( $F_{liq}$  increases); shedding of a portion of this liquid is then computed separately.  
 477 This is depicted in Fig. 1. Thus, wet hail can be represented and the liquid remaining can re-  
 478 freeze onto the existing ice once wet growth stops, so in principle hail can acquire larger mass  
 479 contents and sizes. This is a potentially interesting (and aesthetically curious) aspect of the  
 480 liquid-fraction configuration of P3 and it will be examined in a future study. However, the  
 481 capacity to model wet hail growth is probably much less important than the improved capacity to  
 482 model the melting/shedding of ice in general, including for the simulation of hail at the surface.

483

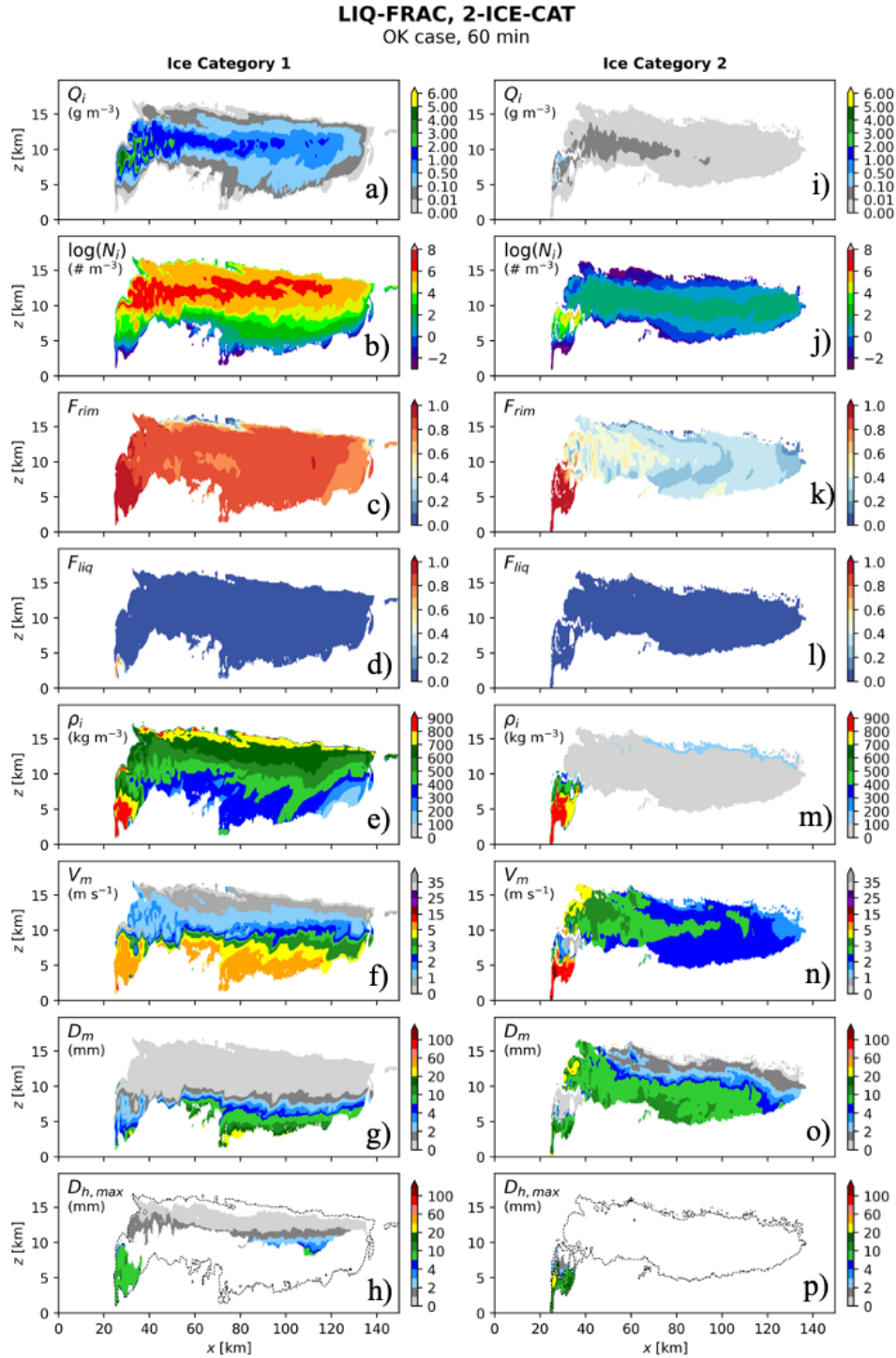
#### 484 4.2 Impacts of the number of ice categories

485 As illustrated in section 3.1, even with a single ice category P3 can simulate a wide and  
 486 continuous range of ice particle physical properties, and hence a wide range of frozen  
 487 hydrometeor types. With the liquid fraction configuration, mixed-phase particles can also be  
 488 represented and the associated microphysical processes better modeled. The limitation, however,  
 489 is that only a single set of properties – and hence one dominant particle type – can be present at a  
 490 given point in time and space. The multi-category configuration of P3 removes this limitation  
 491 and allows for multiple sets of properties, and thus multiple modes, to coexist and to evolve  
 492 independently. The details of ice initiation into a given category and the merging of categories  
 493 with similar properties are explained in Milbrandt & Morrison (2016).

494 To examine the impact of multiple categories on the simulation of hail, a second set of  
 495 sensitivity runs for each case was conducted, increasing the number of categories ( $nCat$ ) from 1  
 496 to 4 (incrementally). All of these runs were with liquid fraction on, thus the control runs for this

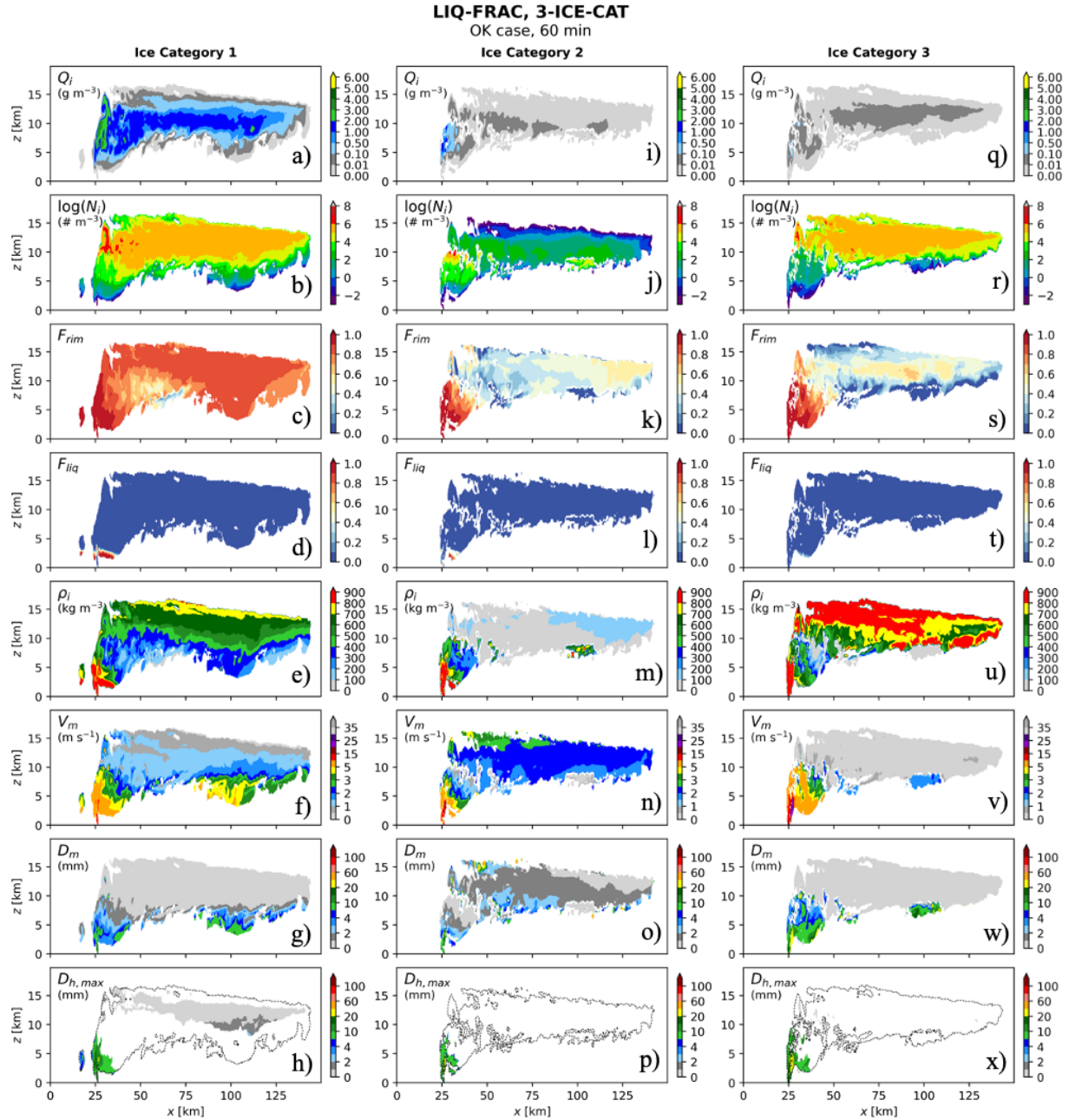
497 analysis become OK-LF and AB-LF. The fields for each of the ice categories in OK-LF-2CAT  
498 are shown in Fig. 10. At any given location, the physical properties are quite often different  
499 between the two categories, and are also different from the single-category run, OK-LF (Fig. 7).  
500 With the 3-category run (OK-LF-3CAT, Fig. 11), not only is the ice in category 3 (Fig. 11, right  
501 column) different from the other two, but the ice in categories 1 and 2 of OK-LF-3CAT (Fig. 11,  
502 left and middle columns) are different from those of OK-LF-2CAT (Fig. 10). For example, the  
503 mean particle sizes are much larger ( $> 10$  mm) in category 2 for the ice at lower levels in the  
504 anvil (Fig. 11o) than those of category 2 in OK-LF-3CAT (Fig. 10o), with  $D_m$  values  $< 4$  mm.  
505 These differences emphasize that a given ice category in the multi-category configuration is not  
506 predisposed to represent a particular mode/type of ice; each category is equally continuous and  
507 variable. Also, a given category is not predisposed to a particular set of dominant properties,  
508 though for both OK-LF-2CAT and OK-LF-3CAT most of the ice mass resides in category 1 (top  
509 rows of Figs. 10, 11). The ice fields for each category of the OK-LF-4CAT run (not shown)  
510 support this further. These results are consistent with the simulations for the AB cases, discussed  
511 below.





512

513 **Figure 10.** As in the right column of Fig. 5 but for ice category 1 (left) and category 2 (right) for  
 514 OK-LF-2CAT (60 min).



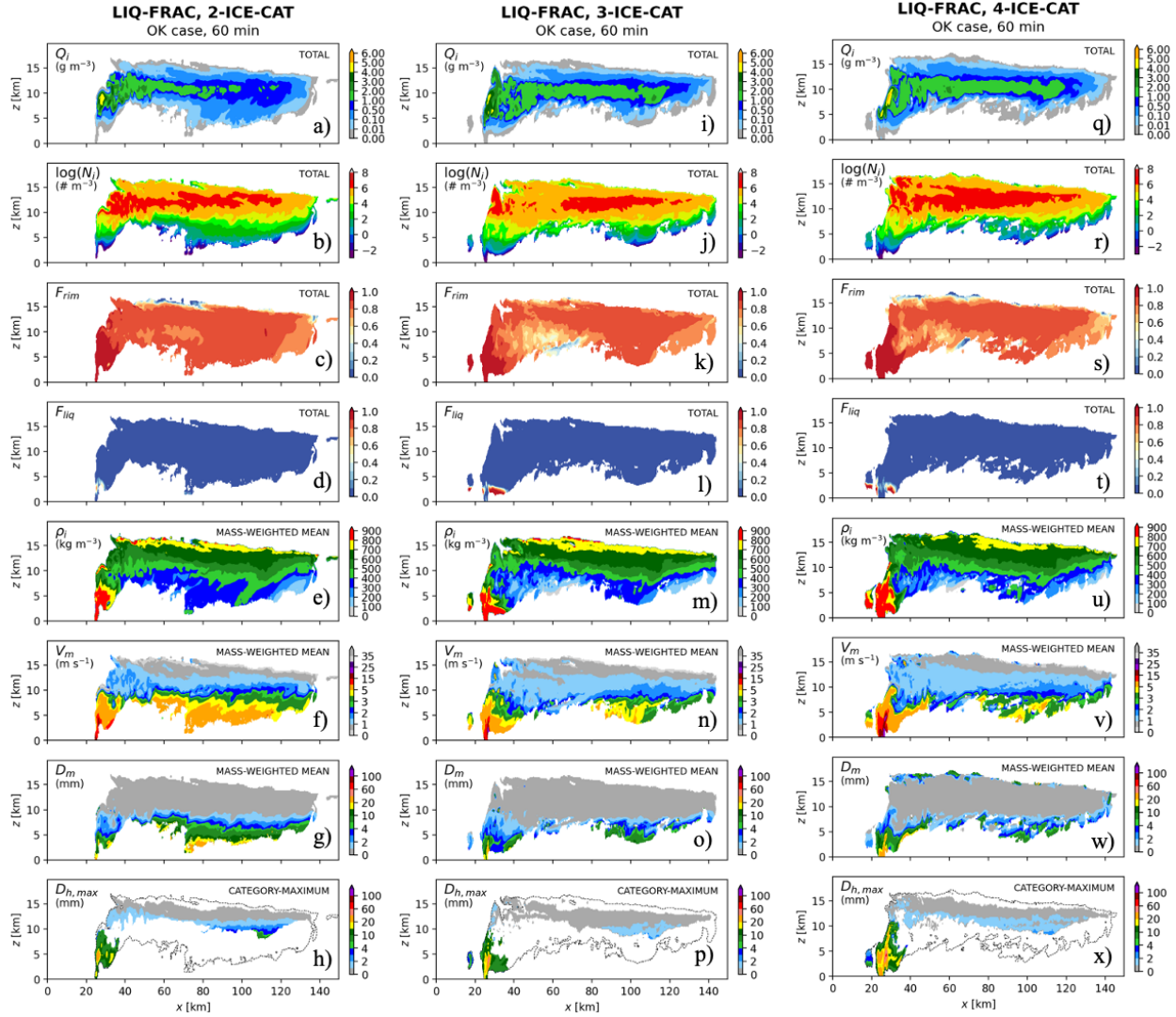
515  
516 **Figure 11.** As in the right column of Fig. 5 but for ice category 1 (left), category 2 (middle), and  
517 category 3 (right) for OK-LF-3CAT (60 min).

518

519 Figure 12 summarizes the “bulk” ice fields for all categories for the OK-LF-2CAT, OK-LF-  
520 3CAT, and OK-LF-4CAT simulations. For the total mass and number this is the sum of  $Q_{i,tot}(i)$   
521 and  $N_{i,tot}(i)$  over all  $i$  in 1 to  $nCat$  (converted to mass and number concentrations,  $Q_i$  and  $N_i$ ); the  
522 “total”  $F_{rim}$  and  $F_{liq}$  are computed from the appropriate sums of the ice fields;  $\rho_i$ ,  $V_m$ , and  $D_m$  are  
523 mass-weighted averages of each category; and  $D_{h,max}$  is the maximum value amongst the  
524 categories. These bulk fields can be compared directly to the 1-category run, OK-LF (Fig. 7). For

525 the AB case, the corresponding results for the multi-category simulations are shown in Figs. 13-  
526 15.

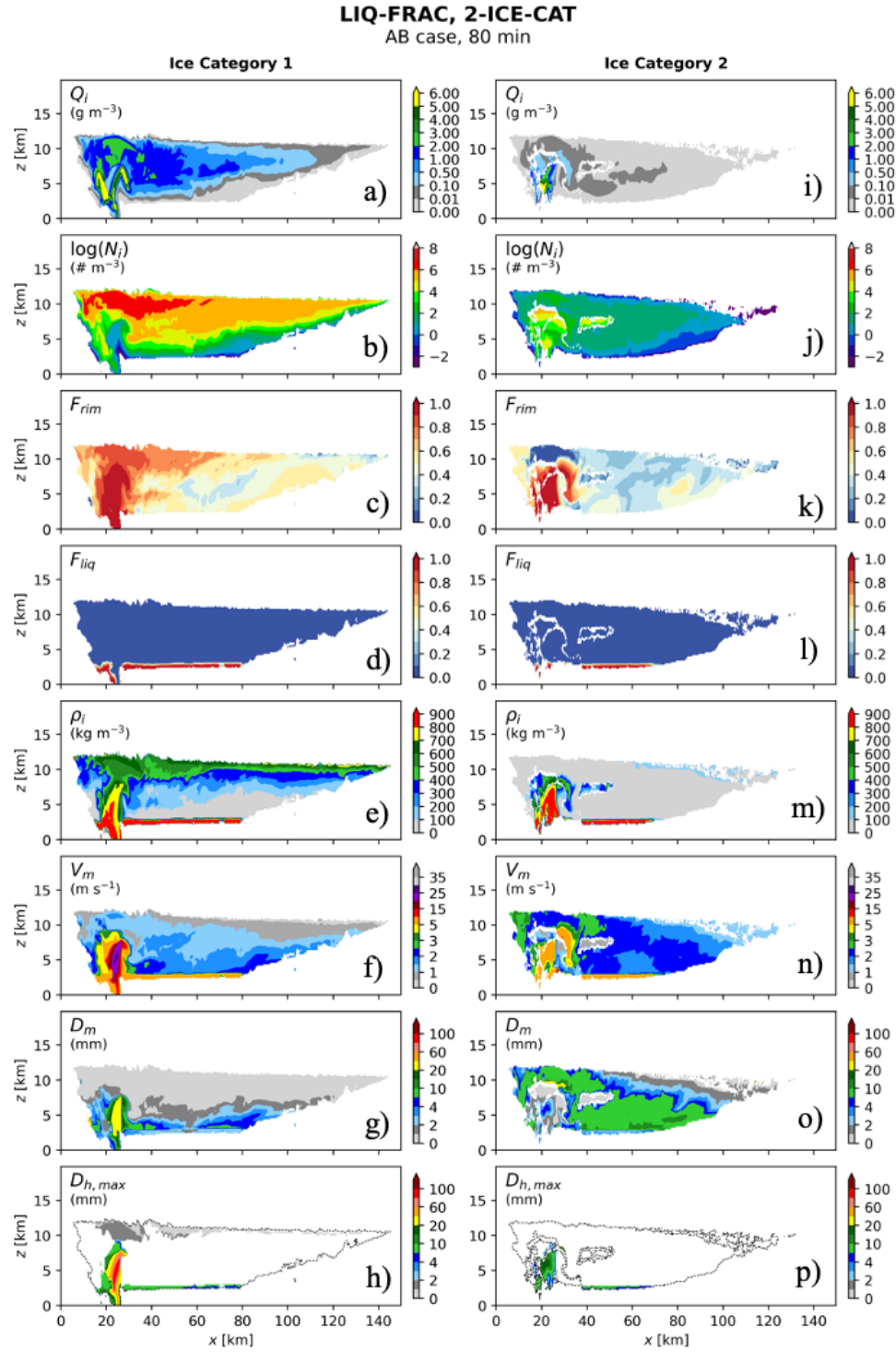
527 For both cases, despite the internal variability within the individual categories exhibited in  
528 Figs. 8, 9, 13, 14 (and also for the 4-category runs, not shown), the bulk fields are broadly similar  
529 for all of the simulations, as well as to those of the 1-category runs (Fig. 7). However, there are  
530 some important differences with respect to the simulation of hail with variation in the number of  
531 ice categories. In general, the hail quantity and size increase as the number of ice categories is  
532 increased. This is evident from the hail mass reaching the surface around the convective core ( $x \sim$   
533 25 km, top rows of Figs. 7, 12, 15), the mean particle sizes  $D_m$  (second last rows), the maximum  
534 hail sizes  $D_{h,max}$  (last rows), as well as the domain-mean and domain-maximum solid  
535 precipitation rates at those times (Table 2). For the 4-category runs the solid precipitation rates  
536 are, on the other hand, lower (than the 3-category runs) at those particular times; however, there  
537 is still abundant large hail reaching the surface (e.g. Figs. 12q,x, 15q,x), notably more than the 1-  
538 and 2-category runs (and the 3-category run for the AB case). Note, although periods of hail can  
539 be intermittent and the results shown above are for individual cross-sections for snapshots in  
540 time, these results were similar at other times during the simulations (not shown), and are similar  
541 for both cases. Thus, this impact on hail with multiple ice categories in P3 can be considered  
542 general.



543

544 **Figure 12.** Bulk ice properties from OK-LF-2CAT (left), OK-LF-3CAT (middle), and OK-LF-  
 545 4CAT (right) (60 min). The panels in each column are similar to those in the right column of Fig.  
 546 5, but are the sum (for  $Q_i$  and  $N_i$ ) across all categories, the values computed from the appropriate  
 547 sums (for  $F_{rim}$  and  $F_{liq}$ ), or the mass-weighted values (for  $\rho_i$ ,  $V_m$ ,  $D_m$ , and  $D_{h,max}$ ) considering each  
 548 ice category.

549

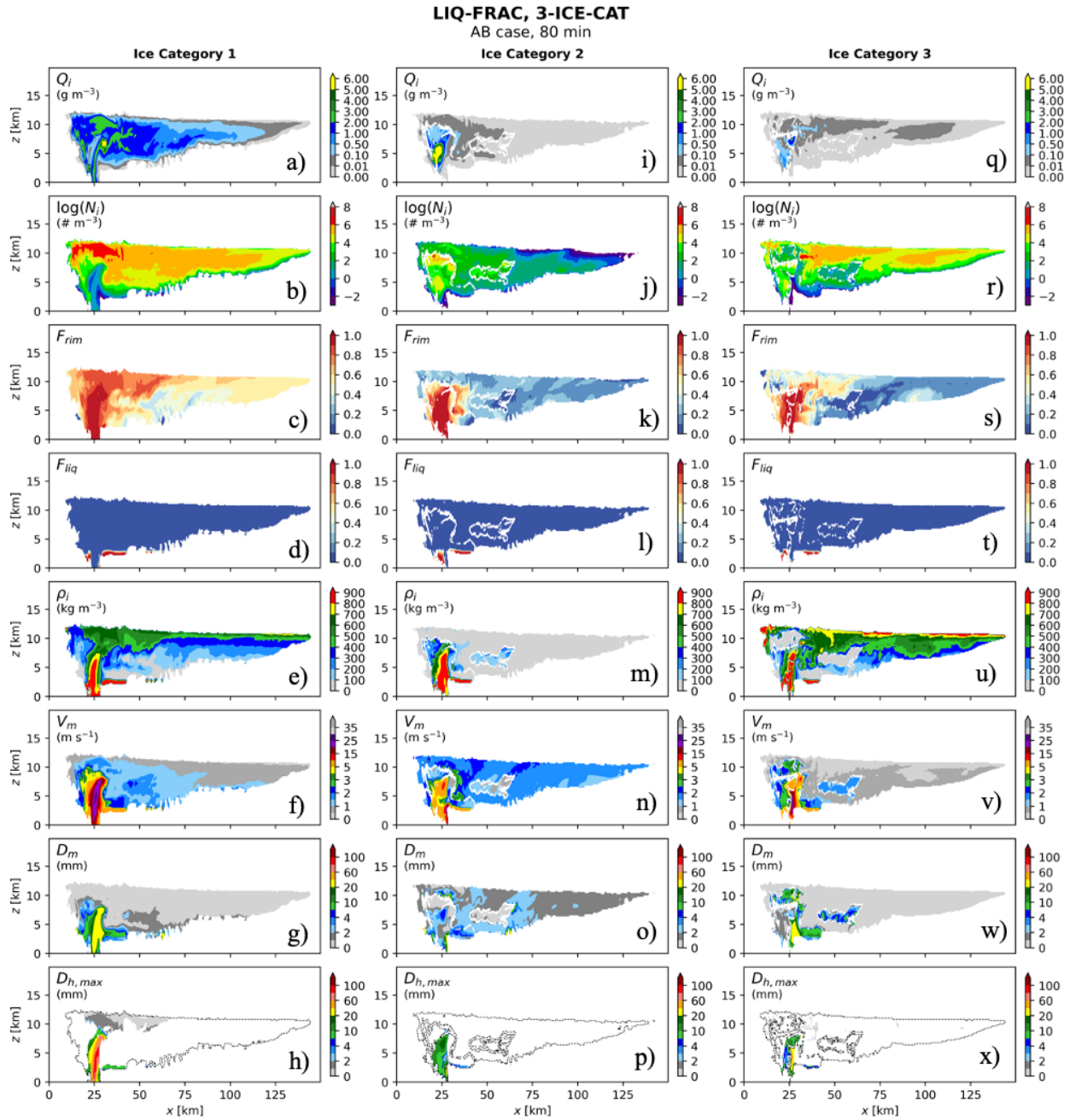


550

551 **Figure 13.** As in Fig. 10 but for AB-LF-2CAT (80 min).

552

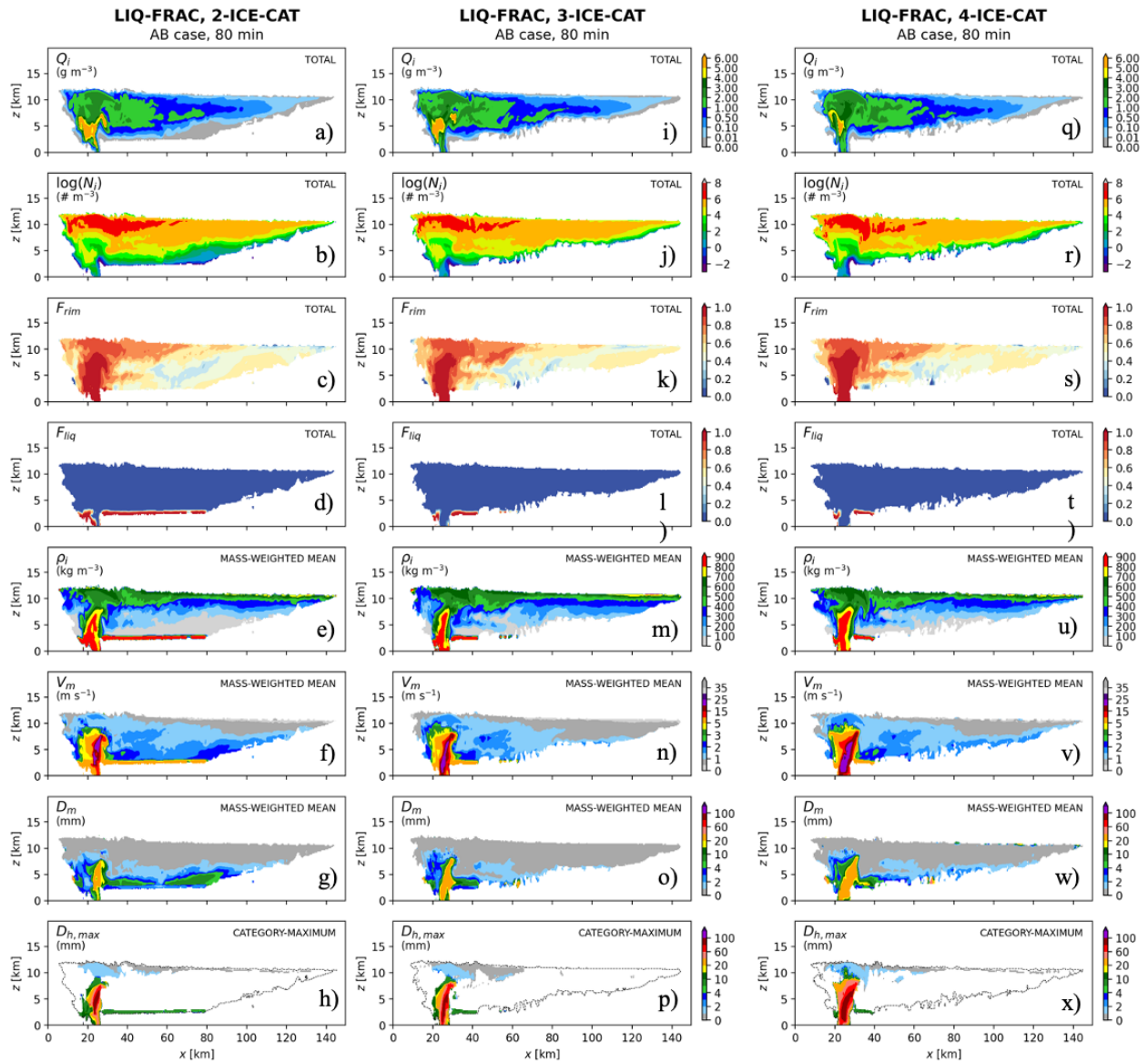




553

554 **Figure 14.** As in Fig. 11 but for AB-LF-3CAT (80 min).

555



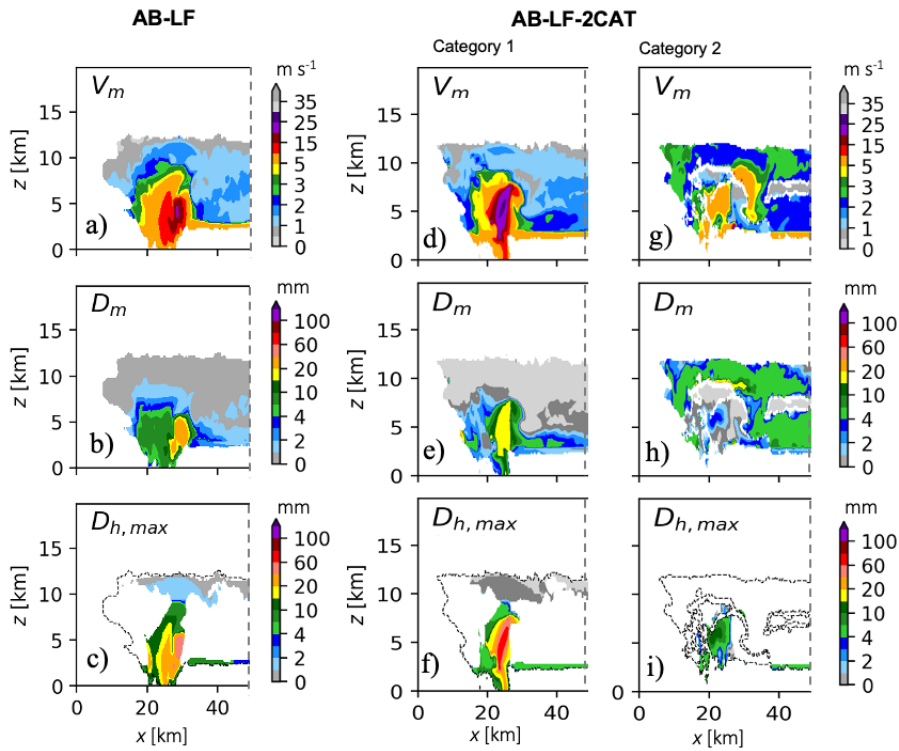
556

557 **Figure 15.** As in Fig. 12 but for AB-LF-2CAT (left), AB-LF-3CAT (middle), and AB-LF-4CAT  
 558 (right) (at 80 min).

559

560 The use of multiple ice categories increases the hail amount and sizes, which presumably is an  
 561 increase in the realism of the simulations because with the capacity to represent two or more sets  
 562 of physical properties in the same location the problem of property dilution is reduced. Property  
 563 dilution occurs when, for example two modes (i.e. sets of properties) that would otherwise exist  
 564 independently are represented with a single distribution; the result is a kind of averaging of the  
 565 properties, hence “dilution”. For hail, this dilution can be detrimental to subsequent growth since  
 566 the particle properties such as fall speed and cross-sectional area are important in determining the  
 567 collection rate of liquid water. This is illustrated in Fig. 16 which is a zoomed-in view of some

568 fields of bulk ice properties that impact hail growth rates for the 1-category (AB-LF) and 2-  
 569 category (AB-LF-2CAT) simulations. Note the properties in the hail core region, around 5 km  
 570 AGL and  $x \sim 30$  km: for ice category 1 in AB-LF-2CAT,  $V_m$  is greater than  $20 \text{ m s}^{-1}$  (Fig. 16d)  
 571 and  $D_m$  is larger than 20 mm (Fig. 16e), whereas for category 2 in the same location  $V_m$  is 5-10 m  
 572  $\text{s}^{-1}$  (Fig. 16g) and  $D_m$  is smaller than 3 mm (Fig. 16h). With a peak updraft speed of around 35 m  
 573  $\text{s}^{-1}$  (assuming a similar speed as AB-CTR at that time; Fig. 4f) this means that the particles in  
 574 category 1 can reside longer in the hail growth zone and grow to larger sizes, which is evident  
 575 with the much larger values of  $D_{h,max}$  (Fig. 16f). For the 1-category run (AB-LF), the property  
 576 values in that region are in between those of the two categories in AB-LF-2CAT and the  
 577 maximum hail sizes at the surface are indeed smaller in the hail shaft region (Fig. 16c). Note, the  
 578 properties in this region in AB-LF cannot be interpreted as the literal average of the properties in  
 579 categories 1 and 2 of AB-LF-2CAT since they are different simulations. However, the  
 580 comparison in Fig. 16 is useful to illustrate how multiple ice categories in P3 reduce property  
 581 dilution and allow for more realistic growth of larger hail, and ultimately to more hailfall at the  
 582 surface and with larger sizes.



583

584 **Figure 16.** Zoom-in of  $V_m$  (top),  $D_m$  (middle), and  $D_{h,max}$  (bottom) for AB-LF (left) and ice  
 585 categories 1 (middle) and 2 (right) for AB-LF-2CAT. (Reproduced from Figs. 7 and 13.)

586

587 **5 Discussion**



588 Although the simulations presented are quasi-idealized and without a rigorous “verification”  
589 against observations, and evaluation against a numerical “truth” simulation is impossible since  
590 no perfect microphysics scheme exists, it is reasonable to believe that the aspects of P3 explored  
591 above improve the overall realism in representing ice-phase and mixed-phase microphysics in  
592 general, including the modeling of hail. It may appear initially that liquid fraction is detrimental  
593 to simulating hail, given that, for example, OK-LF has no hail but OK-CTR does, as did the  
594 observed storm. However, one must remember that the results from any numerical simulation  
595 come from the sum of all components of the model and this can include compensating errors. For  
596 melting of ice, the physics is better represented by a scheme that can model mixed-phase  
597 particles and the gradual transfer of ice to liquid within the particles followed by shedding. So,  
598 while the predicted liquid fraction appears to worsen the simulation of hail, at least for the OK  
599 case, the simulation of the specific processes of melting and shedding most likely improved. In  
600 the single category CTR configuration, the compensating error (or limitation) is the  
601 representation of all ice in the updraft with a single set of physical properties at a given point in  
602 time and space (i.e., in a grid cell), including fall speed, which has the effect of limiting hail  
603 growth to large sizes. Since all ice categories in multicategory configurations in P3 are subject to  
604 the identical parameterized processes and since additional categories reduce property dilution  
605 and hence improve the representation of the overall total ice, simulations should – in principle –  
606 always improve with an increased number of categories.

607 The multi-category P3 configuration could be optimized, or at least improved, such that there  
608 is a higher degree of “spread” of the properties amongst the various categories and thus a greater  
609 mitigation of property dilution. In the multi-category configuration, newly initiated ice (by  
610 primary nucleation, drop/droplet freezing, or secondary ice production) and ice in existing  
611 categories are compared in terms of overall similarity of bulk properties. New ice is added to the  
612 category that is most similar in terms of particle properties, or to a category containing no ice if  
613 one is available. At the end of the time step, ice categories that are evaluated as being similar are  
614 merged into a single category in order to free up the category for new ice. In the current  
615 configuration,  $D_m$  is used as the proxy to evaluate overall similarity, where two populations of  
616 ice (two categories or new ice and a given category) are deemed to be similar if their difference  
617 in  $D_m$  is below a certain threshold (which is a prescribed parameter but is a decreasing function  
618 of the number of categories; see Milbrandt & Morrison, 2016). The optimal similarity condition  
619 depends on the number of ice categories used and on the type of weather being simulated, since  
620 the ultimately purpose of multiple categories is to maximize the reduction of property dilution. In  
621 order to simulate large hail optimally with multiple categories, one needs to be able to  
622 distinguish ice that represents small graupel from ice that represents large hail. In this regard, the  
623 use of  $D_m$  as a proxy for similarity is reasonable (as per the discussion above pertaining to Fig.  
624 16), though it could likely be improved. In contrast, for a large-scale baroclinic system with  
625 relatively little riming, the ability to distinguish graupel from hail is unnecessary so optimization  
626 likely means maximizing the ability to separate unrimed ice of different size ranges and/or  
627 unrimed ice from rimed ice of similar mean sizes. The proxy for overall property similarity based

628 on  $D_m$  means that a population of high-density, heavily-rimed ice could be classified (by the  
629 current algorithm) as being similar to a population of low-density, unrimed ice with a similar  
630 mean size and hence could be merged into a single category, thereby violating the principle of  
631 minimizing property dilution. In a future study, we will explore and attempt to design an  
632 improved multi-ice-category configuration of P3, optimized for a wide range of category  
633 numbers and types of weather. This will likely use  $V_m$  as a similarity proxy and with three  
634 categories to ensure the separation of unrimed ice, graupel, and hail.

635 Despite potential weaknesses in the current configuration, it is important to recognize that a  
636 suboptimal multi-ice-category configuration of P3 is still a conceptual and effective  
637 improvement compared to the 1-category configuration (though it could, in principle, lead to a  
638 worse solution due to compensating model errors), but one with less improvement than is  
639 possible. This is simply a logical deduction from the fact that all ice categories are treated with  
640 identical parameterized process and the premise that the separation of ice into “free” categories  
641 in P3 reduces property dilution and improves representation of ice hydrometeors. There are two  
642 extremes in which a multi-category configuration would be least optimal. In the first, if the  
643 similarity condition is such that all newly-formed ice goes into the first available category such  
644 that there is never any ice in any other category, then the solution will be identical to 1CAT. In  
645 the second, all newly-formed ice gets nearly evenly distributed amongst all categories resulting  
646 in virtually identical sets of properties – and thus subsequent growth rates – in each category,  
647 therefore the solution would also be very similar to 1CAT (with tiny difference arising due to  
648 changes in order-of-operations calculations, etc.). Thus, any multi-category solution in P3 that is  
649 different from the 1-category solution should, in principle, be more physically realistic since it  
650 the differences result from being affected by multiple (and different) ice modes and modeling  
651 their evolution independently.

652 Although in this study we extol the benefits of multiple ice-phase categories, this should not be  
653 interpreted as a proposal to return to traditional pre-defined category-based schemes. In P3, the  
654 categories are not in any way predefined; they all are subject to the identical parameterized  
655 process rates and can each represent any type of ice-phase or mixed-phase particle depending on  
656 the history of conditions at different locations and times. The merging of two P3 ice categories  
657 into one is not comparable to, for example, the conversion from snow to graupel in a traditional  
658 scheme. Despite the use of fixed parameters as a proxy for similarity, *merging is not conversion*  
659 since it is done between categories that are deemed to be similar, rather than being done to  
660 produce a hydrometeor type that is different, as in traditional schemes. A suboptimal P3  
661 configuration, with a poorly chosen similarity criterion, is bound to be less harmful (i.e. result in  
662 less sensitivity) than traditional conversion processes based on arbitrary and unconstrained  
663 thresholds as was shown, for example, in Morrison & Milbrandt (2011) where there was a large  
664 sensitivity of supercell simulations to whether the traditional BMS favored graupel or hail.

665 Perhaps somewhat ironically, in addition to illustrating the benefits of multi-category P3 for  
666 the simulation of hail, the results presented in section 4.2 also strongly support the conclusions

667 originally made in Morrison & Milbrandt (2015) and Morrison et al. (2015) regarding the  
668 versatility of using a single free ice-phase category in a BMS to represent a wide range of  
669 hydrometeor types and a majority of the important microphysical processes. This is evident from  
670 the results since, except for hail in the convective core and near the surface, the overall ice  
671 properties in the 1-category simulations (Fig. 7) are similar to those in the multi-category runs  
672 (Figs. 12,15).

673

## 674 **6 Conclusions**

675 This study examined the impacts of predicting liquid fraction and multiple free ice-phase  
676 categories in the P3 microphysics scheme in the context of cloud-resolving, quasi-idealized  
677 hailstorm simulations. An important effect of predicting the liquid fraction is to improve the  
678 parameterization of melting of ice, in which a portion of the melted mass is temporarily retained  
679 in the mixed-phase particles and then gradually shed, depending on the degree of riming. This  
680 increases the rate at which ice mass is transferred to rain for heavily-rimed ice for this set of  
681 processes and ultimately reduces the amount of hail reaching the surface. In contrast, the impacts  
682 of adding ice-phase categories tends to increase the amount of hail reaching the surface, and also  
683 the hail sizes. This is due to reduced property dilution, which allows ice to be represented in at  
684 least one category as large hail which can reside in the hail growth zone for longer times and thus  
685 grow even larger. With multiple categories the dilution of properties is reduced; multiple modes  
686 can coexist without loss to either of their sets of properties (with the exception of changes due to  
687 category interactions via aggregation) and each population of ice can continue to evolve  
688 accordingly. Hailstorm cases from two very different pre-storm environments were used which  
689 strengthens the generality of the conclusions regarding the systematic impacts.

690 Since its inception, the P3 scheme has advanced in terms of its capacity to simulate hail. This  
691 includes the implementation of triple-moment ice which controls excessive size sorting, the  
692 predicted liquid fraction which improves the representation of wet growth, melting, and shedding,  
693 and the use of multiple free ice categories which allows hail to grow to large sizes without  
694 dilution from smaller particles. Future improvements pertaining to hail will include optimizing  
695 the initiation and merging of ice in the multicategory configuration. We also plan to extend the  
696 triple-moment treatment of ice to include the formal treatment of all microphysical processes,  
697 thereby improving all process rates that impact the dispersion of the size spectra; this will be  
698 reported in an upcoming study. On-going improvements to the representation of primary ice  
699 nucleation, secondary ice production, and the representation of aerosols is on-going. We believe  
700 that the P3 scheme is nearing the point that it can be used as a tool to conduct detailed process  
701 studies on hailstorms.

702 In addition to using P3 as a research tool, all of the results and conclusions on the impacts on  
703 simulating hail in this study are valid for km-scale numerical weather prediction (NWP). While  
704 all of the simulations presented were done with a 200-m grid spacing to minimize interpretation

705 challenges associated with unresolved deep convection, all of the simulations discussed were  
706 also done with a 1-km horizontal grid spacing km (not shown). Each of the sensitivities exhibited  
707 at 200 m were similar at 1 km. This is at the scale of some current real-time experimental NWP  
708 systems and is at the scale that operational systems are moving towards, even over large areas  
709 such as the CONUS. While the configuration of P3 with triple-moment ice, predicted liquid  
710 fraction, and two ice categories requires that 18 hydrometeor tracers must be advected and  
711 diffused, which would typically be considered computationally prohibitive for operational NWP,  
712 microphysics schemes like P3 which have several variables per category lend themselves very  
713 well to advection techniques such as the scaled flux vector transport method (SFVT; Morrison et  
714 al., 2016). For this specific configuration of P3, 18 microphysics tracers could be advected for  
715 the computational cost of advecting 4 primary tracers (one per hydrometeor category) with the  
716 standard advection algorithm, plus a relatively small additional cost to compute the advective  
717 tendencies of the 14 secondary tracers. Also, due to its extensive use of pre-computed look-up  
718 tables, the P3 code itself is quite fast compared to other BMSs (see Morrison et al., 2015). We  
719 close in commenting, therefore, that the predictive detail in the LF-2CAT (or even LF-3CAT)  
720 configuration could be easily be affordable for a km-scale operational NWP system, even with  
721 current computational constraints, using an efficient advection algorithm such as SFVT or  
722 artificial-intelligence based advection.

723

## 724 **Acknowledgments**

725 We thank George Bryan (NSF NCAR) for developing and maintaining the CM1 model.

726

## 727 **Open Research**

728 The code for the P3 scheme, as well as necessary files to interface the latest version with CM1, is  
729 available at <https://github.com/P3-microphysics/P3-microphysics>. The code for the CM1 model is  
730 available at <https://github.com/NCAR/CM1>. The model soundings and namelist files used to  
731 generate the simulations are available upon request.

732

733

734 **Appendix A**

735 (see Table A1)

736 **Table A1.** List of symbols

737

Symbol	Description	Units
$B_{i,rim}$	rime ice volume mixing ratio (for a given category)	$\text{m}^3 \text{kg}^{-1}$
$D$	equivalent diameter	m
$D_{h,max}$	maximum diagnosed hail diameter	m
$D_m$	mass-weighted mean ice diameter	m
$D_{mm}$	mean-mass diameter	m
$F_{liq}$	liquid fraction (for a given ice category)	--
$F_{rim}$	rime ice mass fraction (for a given category)	--
$N(D)$	number density function (particle size distribution, PSD)	$\# \text{m}^{-1} \text{kg}^{-1}$
$N_0$	intercept parameter (of gamma PSD)	$\text{m}^{-(1+\mu)} \text{kg}^{-1}$
$nCat$	number of ice categories	--
$N_i$	total ice number concentration (for a given category)	$\# \text{m}^{-3}$
$N_{i,tot}$	total ice number mixing ratio (for a given category)	$\# \text{kg}^{-1}$
$Q_c$	mass content/concentration of cloud (droplets)	$\text{kg m}^{-3}$
$Q_i$	total ice mass concentration/content (for a given category)	$\text{kg m}^{-3}$
$Q_{i,liq}$	liquid (on ice) mass mixing ratio (for a given category)	$\text{kg kg}^{-1}$
$Q_{i,rim}$	rime mass mixing ratio	$\text{kg kg}^{-1}$
$Q_{i,tot}$	total ice mass mixing ratio (for a given category)	$\text{kg kg}^{-1}$
$Q_r$	mass content/concentration of rain	$\text{kg m}^{-3}$
$V_m$	mass-weighted fall speed for ice	$\text{m s}^{-1}$
$w_{max}$	peak updraft speed	$\text{m s}^{-1}$
$Z_{DR}$	differential reflectivity (from dual-polarization radar)	dB
$Z_e$	equivalent reflectivity (from model)	dBZ
$Z_{e,c}$	equivalent reflectivity, column-maximum	dBZ
$Z_H$	horizontal reflectivity (from radar)	dBZ
$Z_{i,tot}$	reflectivity factor (6 <sup>th</sup> moment) mixing ratio (for a given category)	$\text{m}^6 \text{kg}^{-1}$
$\rho_a$	air density	$\text{kg m}^{-3}$
$\rho_i$	bulk ice density	$\text{kg m}^{-3}$
$\lambda$	slope parameter (of gamma PSD)	$\text{m}^{-1}$
$\mu$	shape parameter (of gamma PSD)	--

738

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